

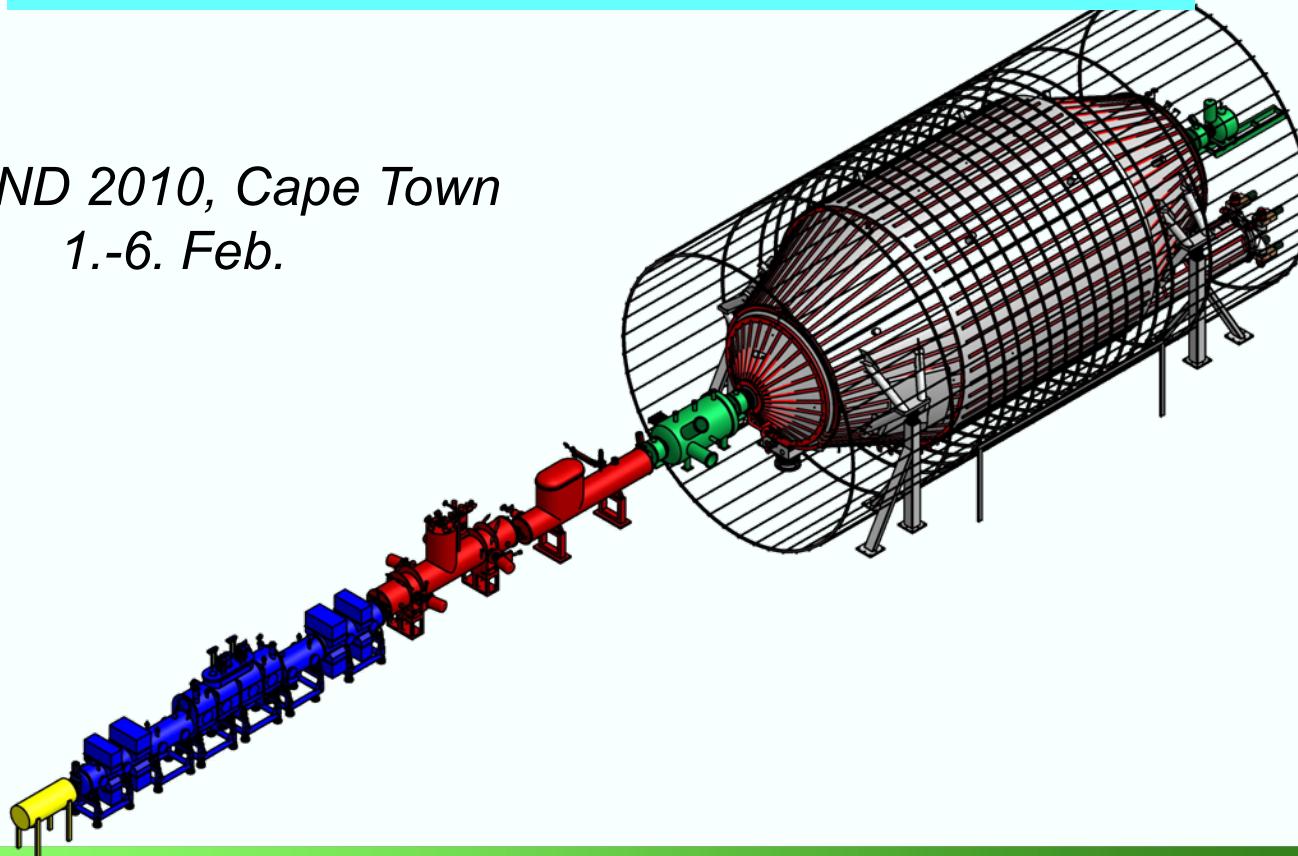


# The KATRIN experiment

## Karlsruhe *Tritium Neutrino*

Alexander Osipowicz (KATRIN Collaboration)

BEYOND 2010, Cape Town  
1.-6. Feb.





# The KATRIN experiment



## Collaboration

- 120 scientists
- 5 countries
- 14 institutions



university of washington



PRIFYSGOL CYMRU ABERTAWE  
UNIVERSITY OF WALES SWANSEA

Hochschule Fulda  
University of Applied Sciences

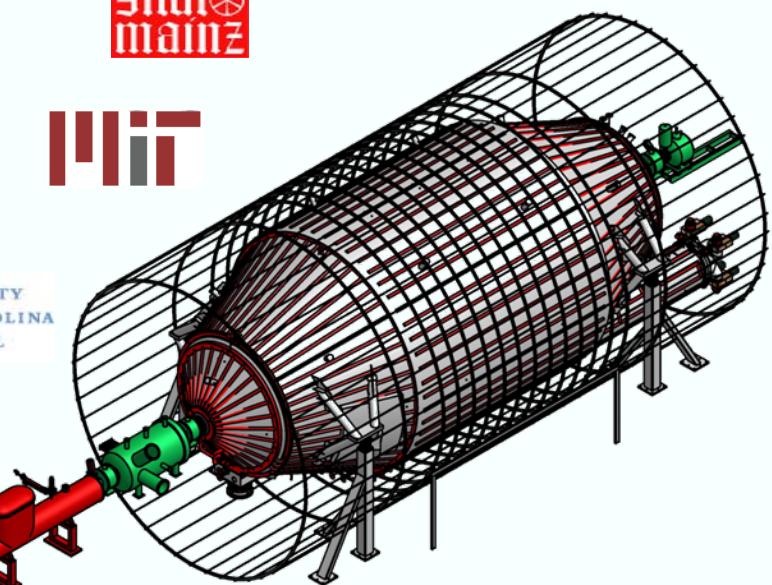
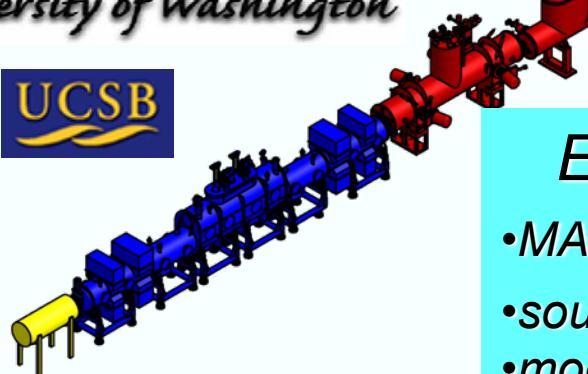


bmb+f - Förderschwerpunkt  
Astroteilchenphysik  
Großgeräte der physikalischen  
Grundlagenforschung

Deutsche  
Forschungsgemeinschaft



THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL



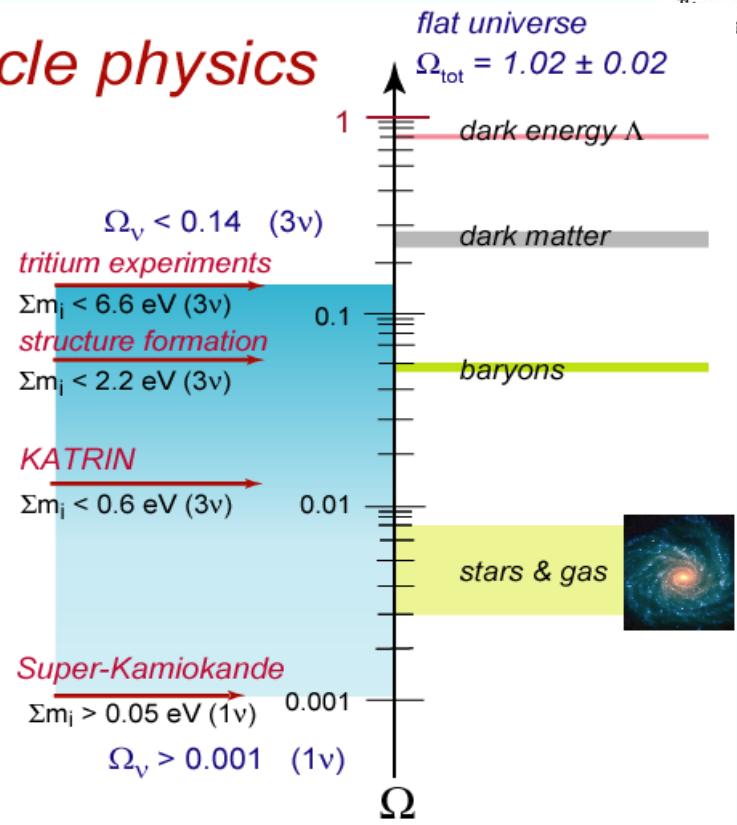
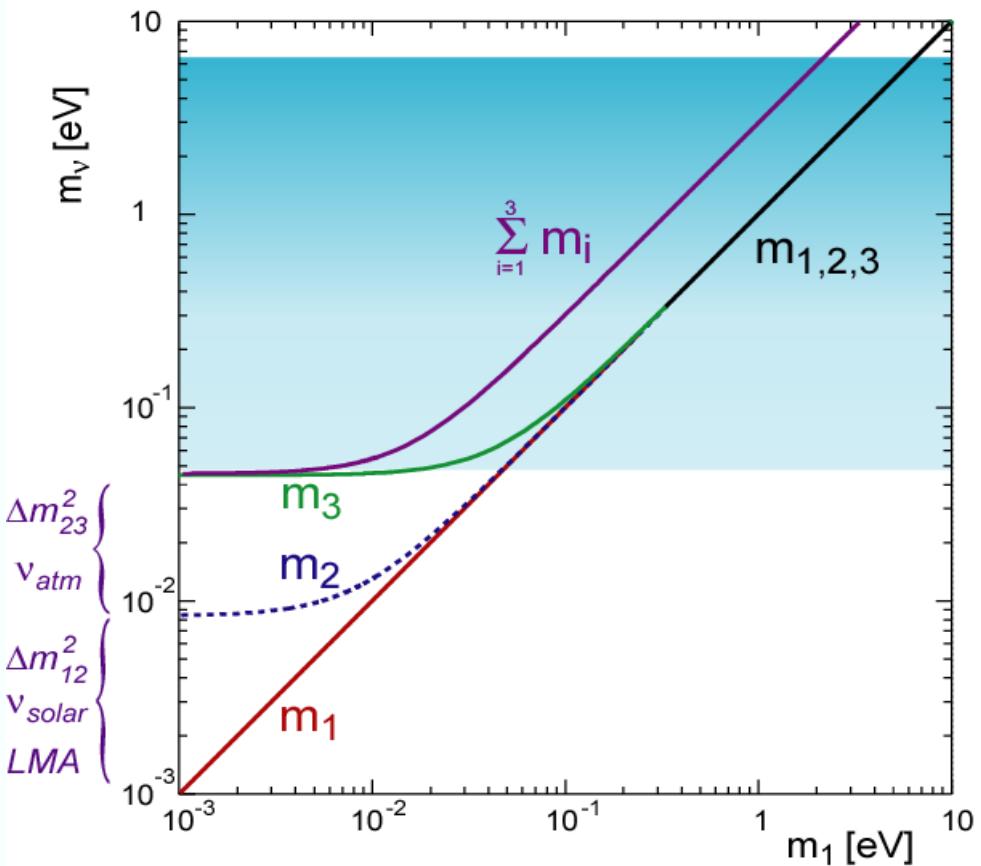
## Experimental objective:

- MAC-E-Filter
- source: gaseous tritium ( $\beta$ -decay)
- model-independent neutrino mass
- sensitivity:  $0.2 \text{ eV}/c^2$



# $\nu$ -masses in cosmology & particle physics

neutrino mass and hot dark matter



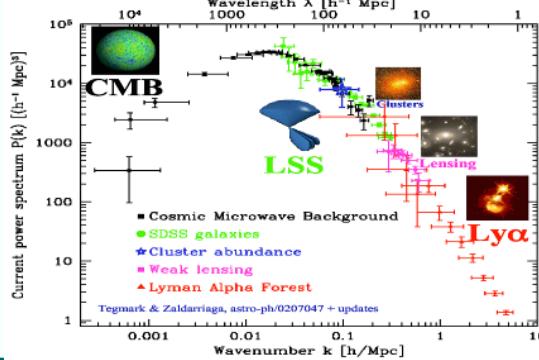
$$\Omega_\nu h^2 = \sum m_\nu / 93.5 \text{ eV}$$



# How to determine the neutrino mass scale ?

## 1) Cosmological observations

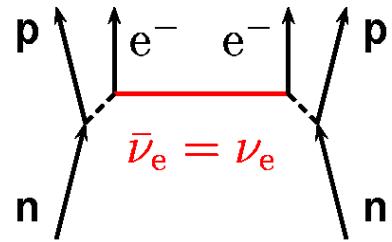
*very sensitive, but model dependent*  
current sensitivity:  $\Sigma m(\nu_i) \approx 0.4 - 2 \text{ eV}$



## 2) Search for $0\nu\beta\beta$

*very sensitive, but needs  $\nu$  to be of Majorana-type*  
sensitive to coherent sum:  $m_{ee}(\nu) = |\sum |U_{ei}|^2| e^{i\alpha(i)} m(\nu_i)|$   
 $\Rightarrow$  partial cancelation possible

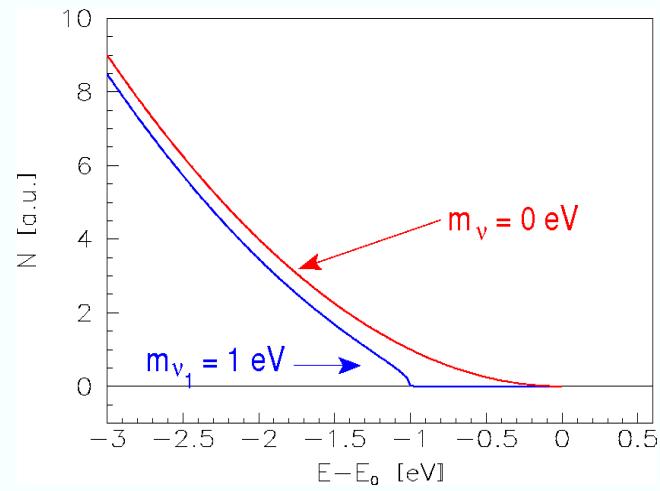
Evidence for  $m_{ee}(\nu) \approx 0.4 \text{ eV}$  (Klapdor-Kleingrothaus et al.)?



## 3) Direct neutrino mass determination:

No further assumptions needed ( $E^2 = p^2c^2 + m^2c^4 \Rightarrow m^2(\nu)$ )

- Time-of-flight measurements ( $\nu$  from supernova)  
 $SN1987a \Rightarrow m(\nu_e) < 5.7 \text{ eV}$  (PDG 2006)
- Kinematics of weak decays ( $\beta$ -decay search for  $m_{\nu_e}$ )
  - $^{187}\text{Re}$   $\beta$ -decay bolometers
  - tritium  $\beta$ -decay spectrometers





# $\beta$ -decay and neutrino mass

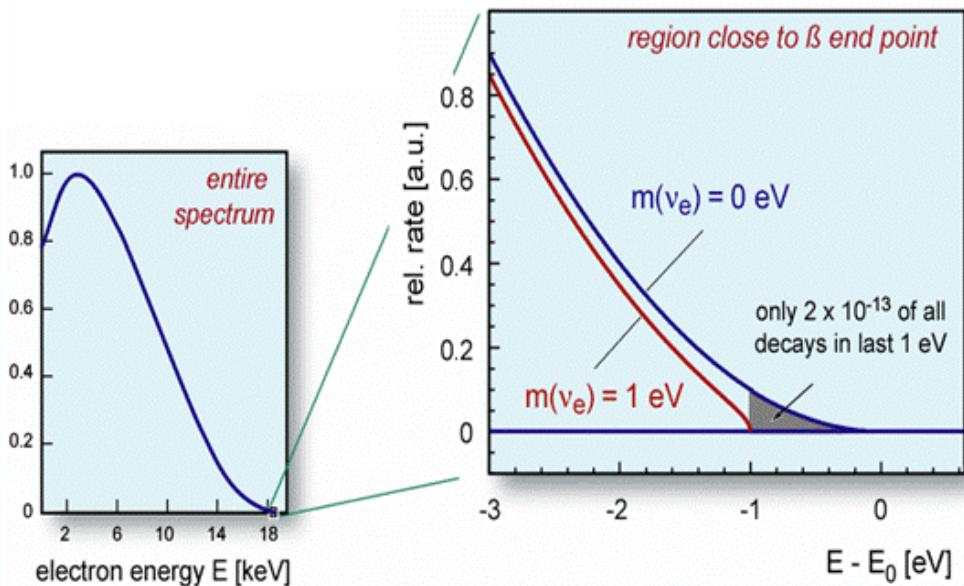


kinetic measurement of the effective neutrino mass

$$m_{\nu_e} = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 m_i^2}$$

$$C = G_F^2 \frac{m_e^3}{2 \pi^3} \cos^2 \theta_C |M|^2$$

$$\frac{d\Gamma}{dE} = C p (E + m_e) (E_0 - E) \sqrt{(E_0 - E)^2 - m_{\nu_e}^2} F(E) \theta(E_0 - E - m_{\nu_e})$$



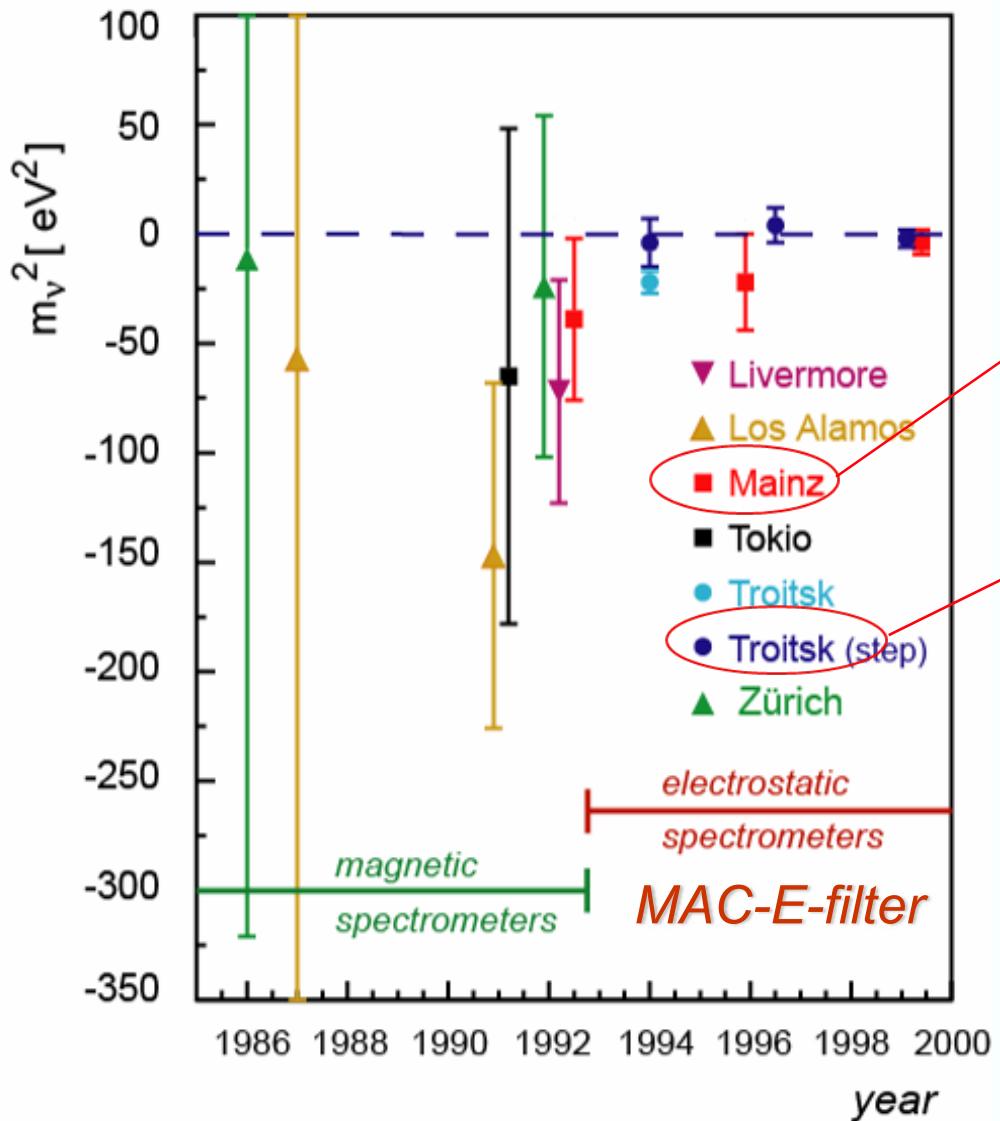
**Low Q value necessary**  
**Tritium as  $\beta$ -emitter:**

- high specific activity ( $t_{1/2} = 12.3$ a)
- endpoint energy  $E_0 = 18.6$  keV
- super allowed transition





# History of ${}^3H$ $\beta$ -decay experiments



$$m_\nu^2 = -0.6 \pm 2.2_{\text{stat}} \pm 2.1_{\text{syst}} \text{ eV}^2$$

$$m_\nu \leq 2.3 \text{ eV} (95\% CL)$$

$$m_\nu^2 = -1.2 \pm 2.2_{\text{stat}} \pm 2.1_{\text{syst}} \text{ eV}^2$$

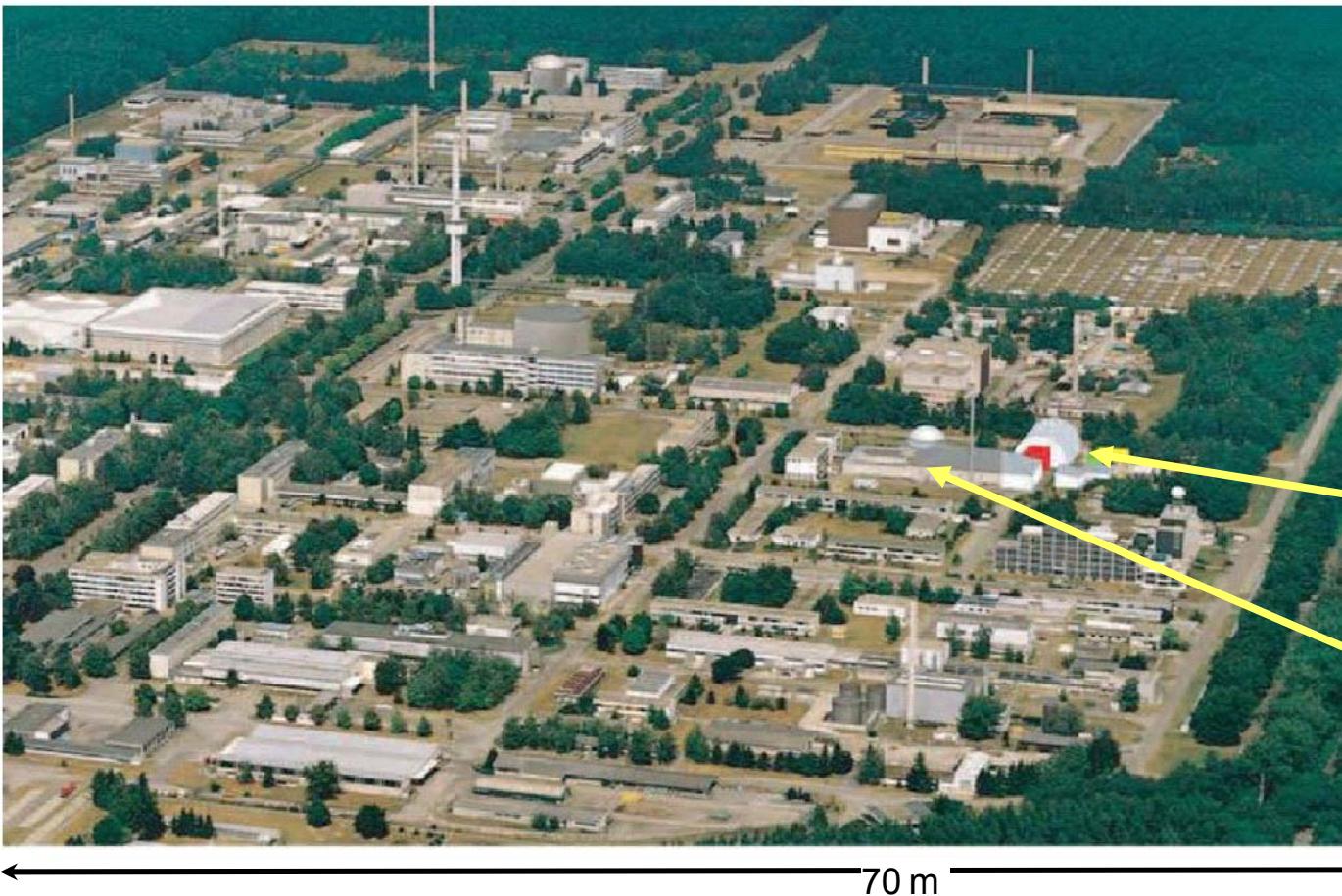
$$m_\nu \leq 2.2 \text{ eV} (95\% CL)$$

Mainz & Troitsk have reached the limit of their sensitivity

both experiments are used now to conduct systematic studies for one large experiment

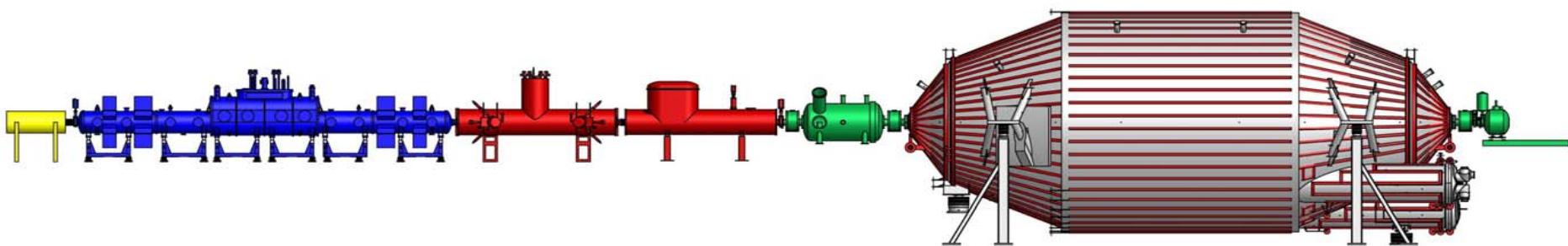
**KATRIN**

# KATRIN at FZ Karlsruhe



*Spectrometer  
buildings*

*Tritium  
laboratory  
TLK*



*high  $\beta$ -luminosity*

*background-  
reduction*

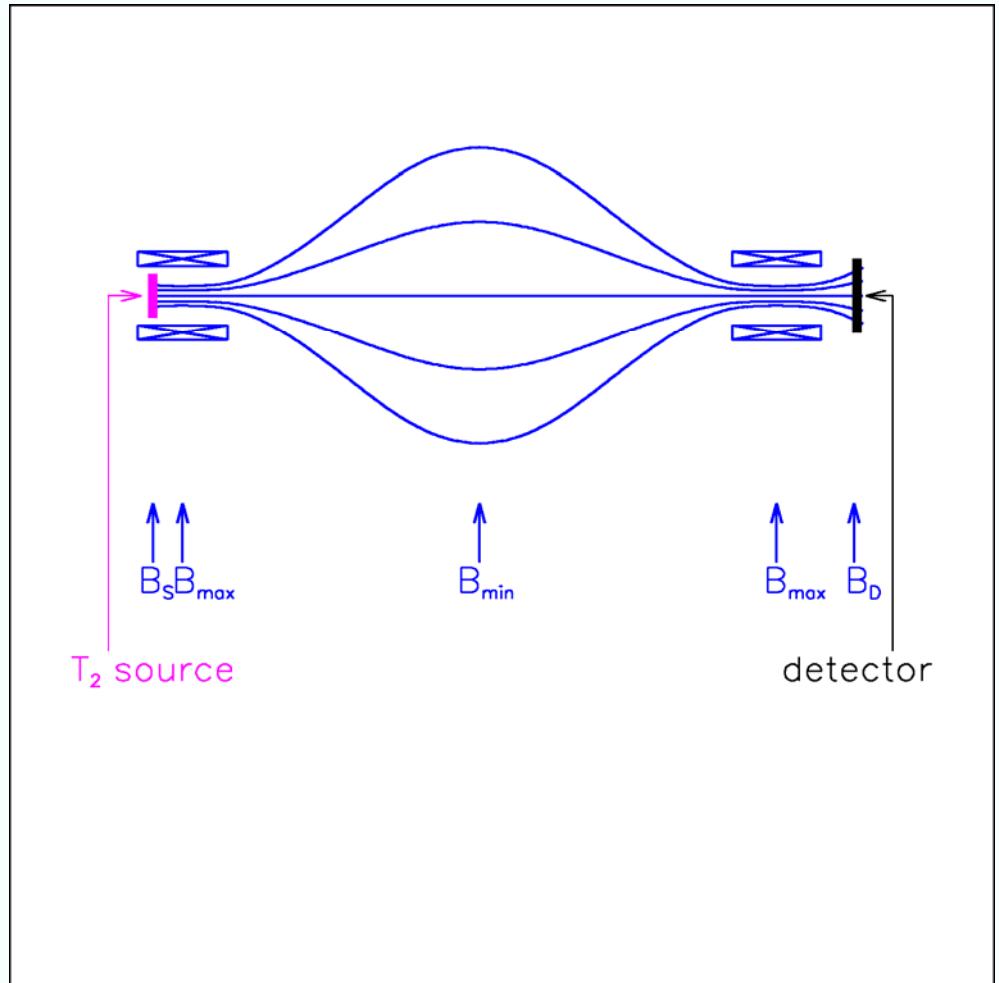
*high energy resolution*

*counting*

# Principle of the MAC-E-Filter

Magnetic Adiabatic Collimation + Electrostatic Filter  
(A. Picard et al., Nucl. Instr. Meth. 63 (1992) 345)

- Two supercond. solenoids compose magnetic guiding field
- Electron source ( $T_2$ ) in left solenoid

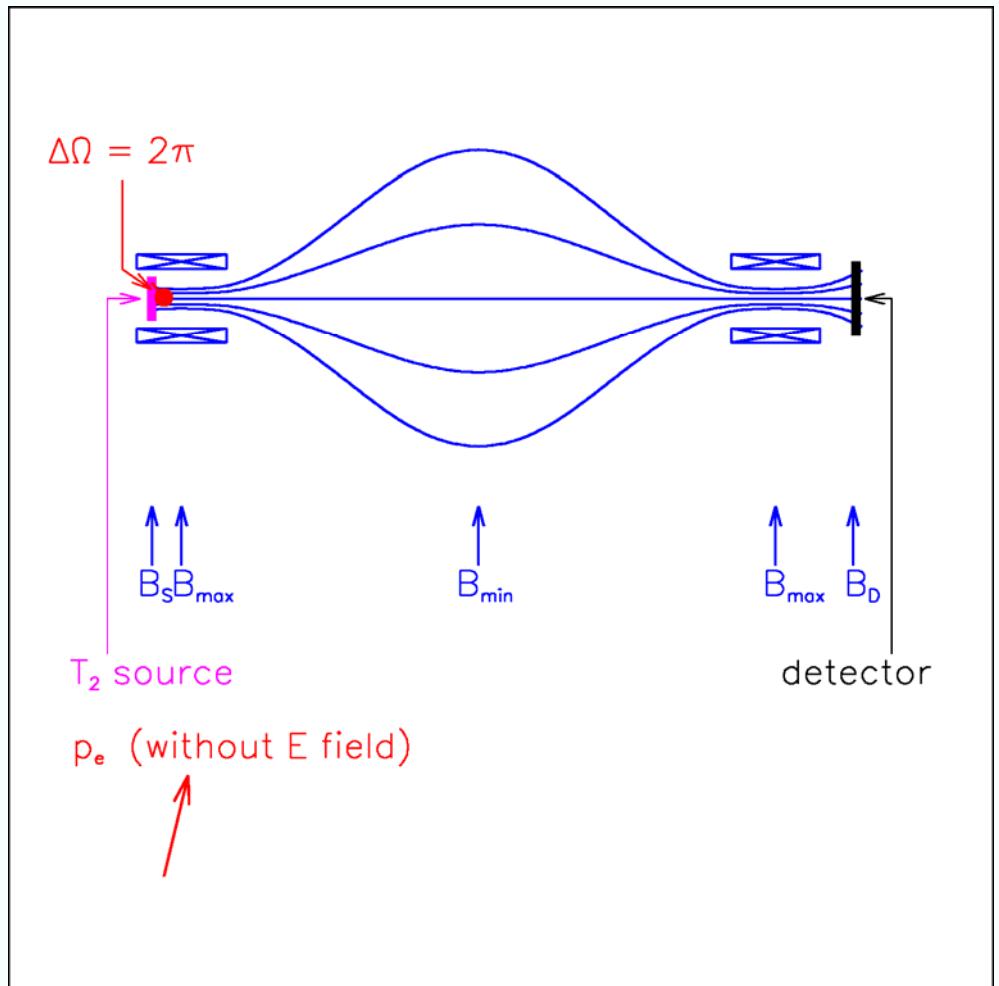




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- Two supercond. solenoids compose magnetic guiding field
- Electron source ( $T_2$ ) in left solenoid
- $e^-$  in forward direction: magnetically guide ( $F = \mu \text{ grad } B$ )
- adiabatic transformation:  
 $\mu = E_T/B = \text{const.}$   
 $\Rightarrow$  parallel  $e^-$  beam

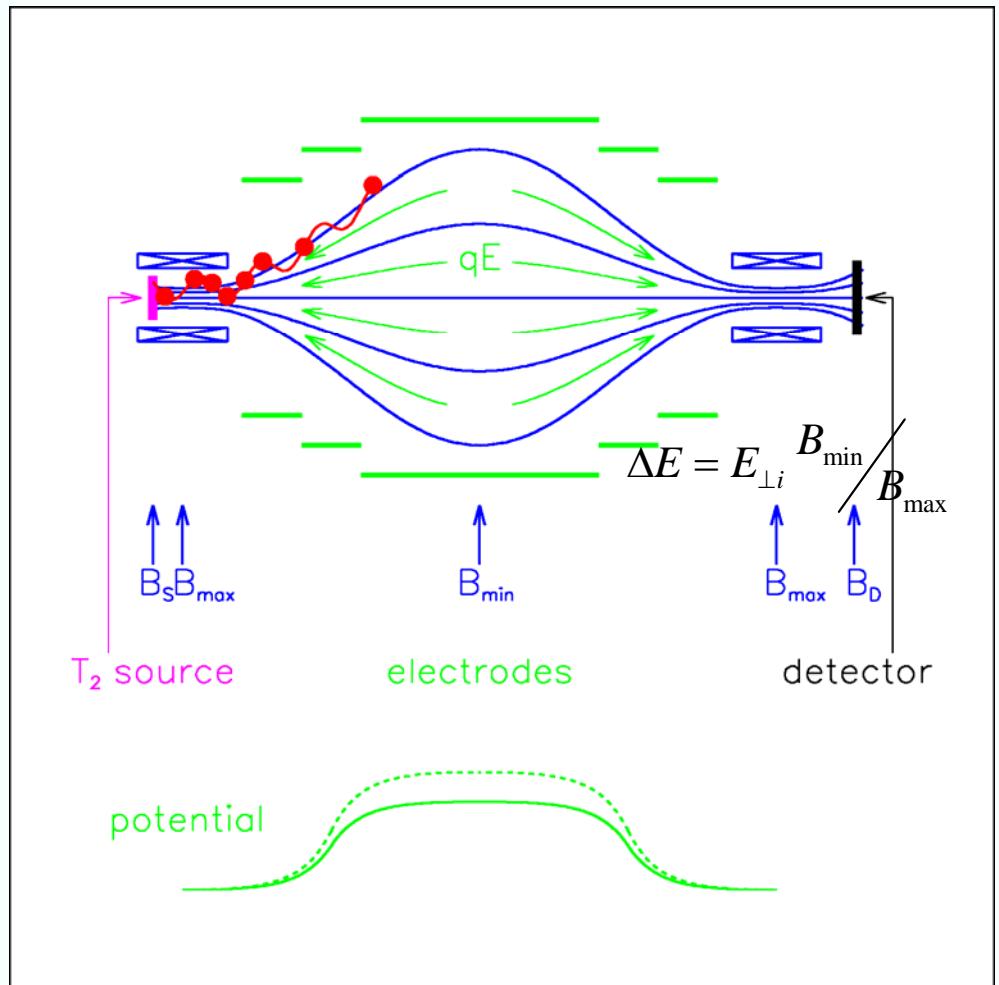




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- Two supercond. solenoids compose magnetic guiding field
- Electron source ( $T_2$ ) in left solenoid
- $e^-$  in forward direction: magnetically guided
- adiabatic transformation:  $\mu = E_T/B = \text{const.}$   
 $\Rightarrow$  parallel  $e^-$  beam
- Integral energy analysis by electrostat. retarding field  
 ↗  $E = E_{T,i} B_{\min}/B_{\max}$

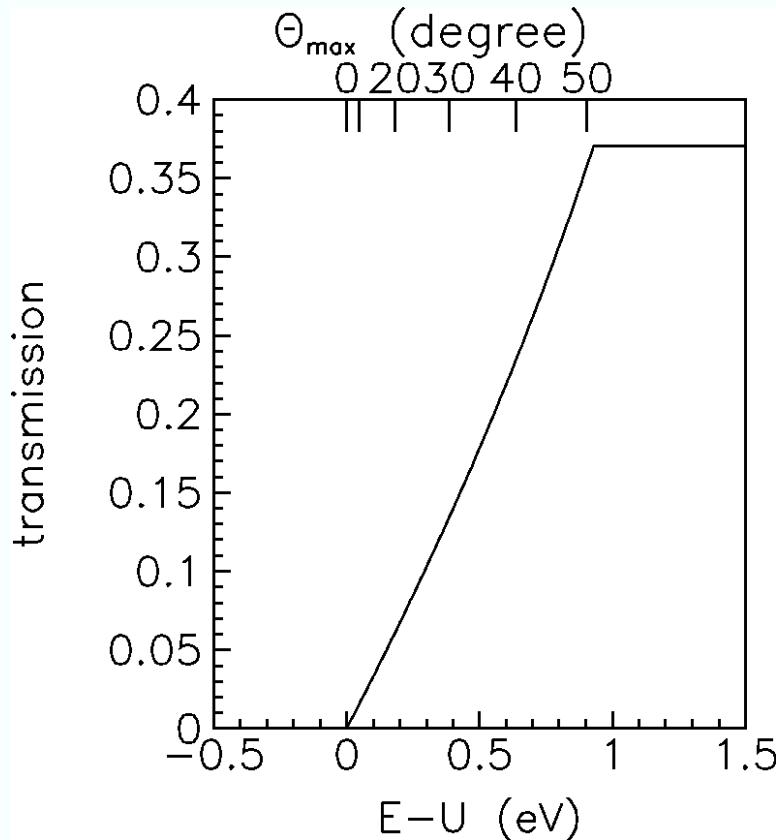




# Principle of the MAC-E-Filter

Magnetic Adiabatic Collimation + Electrostatic Filter  
(A. Picard et al., Nucl. Instr. Meth. 63 (1992) 345)

⇒ sharp integrating transmission function without tails:



$$\Delta E = E_{T,i} B_{min}/B_{max} = E_{T,i} A_{s,eff}/A_{analyse} \quad \text{Mainz} \approx 4.8 \text{ eV; KATRIN} = 0.93 \text{ eV}$$

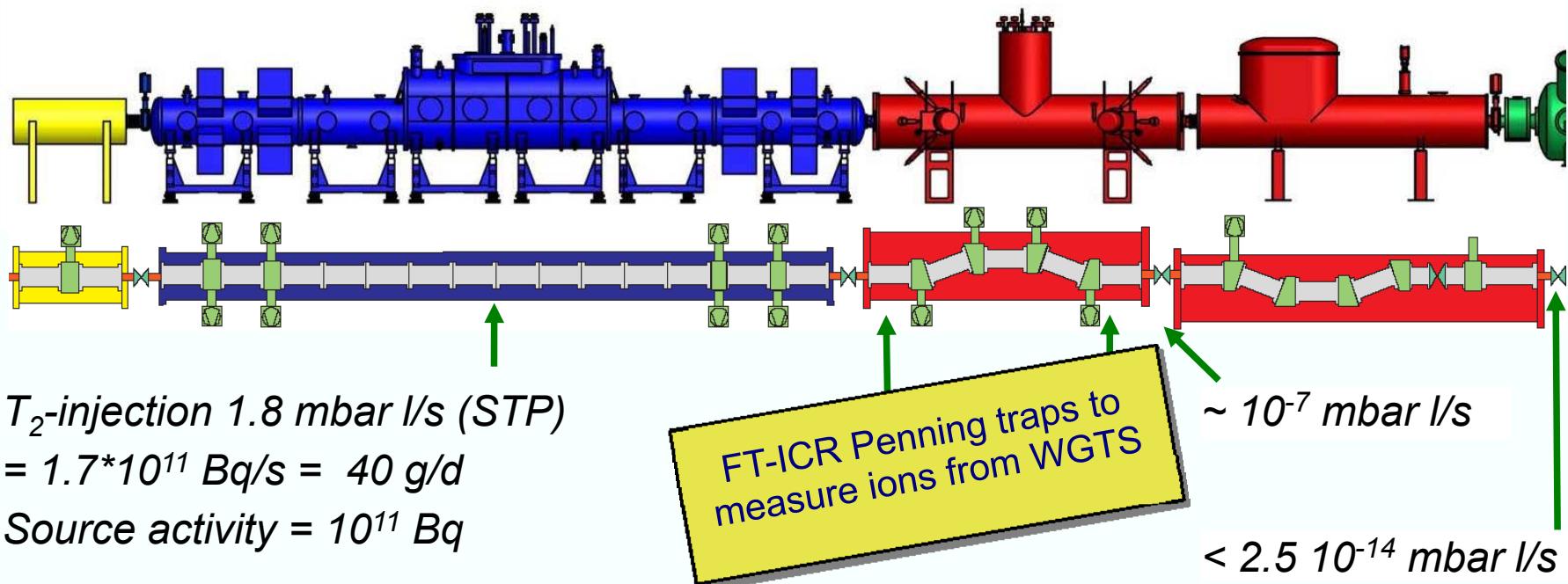


# Source and transport section (tritium system)

*Molecular windowless  
gaseous tritium source*

*Differential  
pumping*

*Cryogenic  
pumping  
with Argon snow  
at LHe temperatures*



⇒ adiabatic electron guidance &  $T_2$  reduction factor of  $\sim 10^{14}$



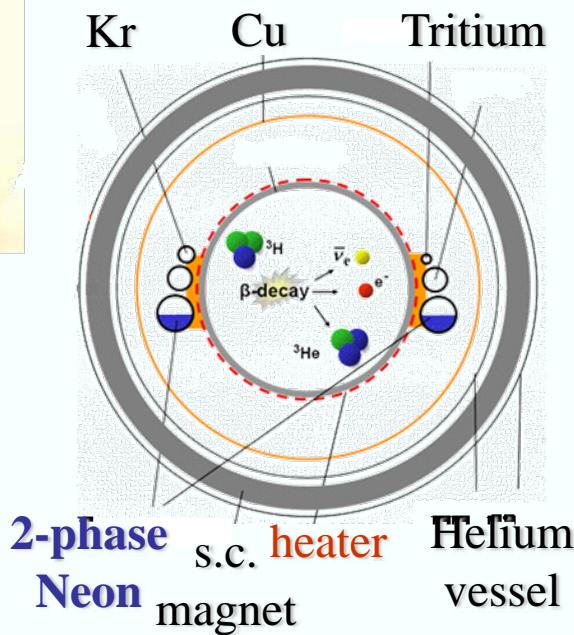
## Complex 16m long cryostat:

- 12 cryogenic circuits
- 500 sensors from 4 – 600 K
- super conducting magnets (4.5 K, 3.6T)
- 2 phase Neon cooling of source tube
- tritium temperature:  $27 \pm 0.03$  K
- **long term stability:  $\pm 0.1\%$**



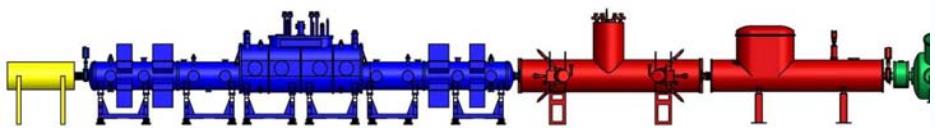
## Status:

cryostat under construction  
2010: 12 m demonstrator  
2011: 16 m WGTS



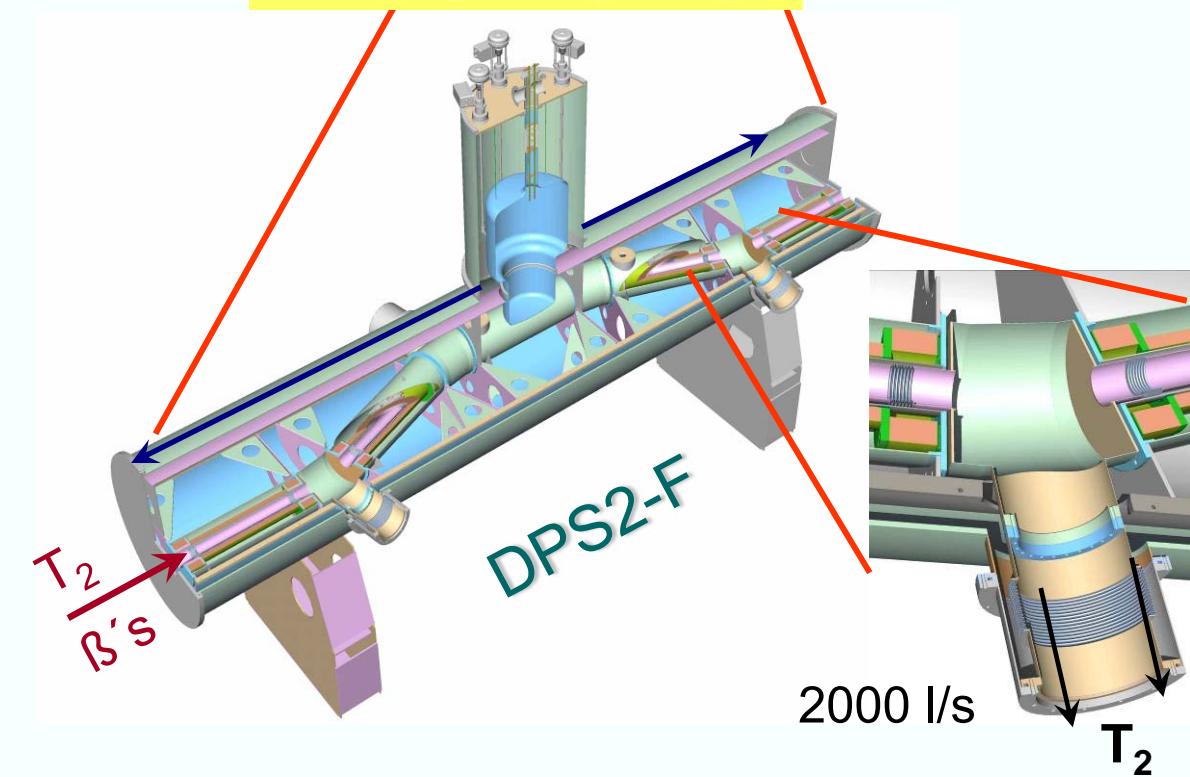


# Differential Pumping Section (DPS)



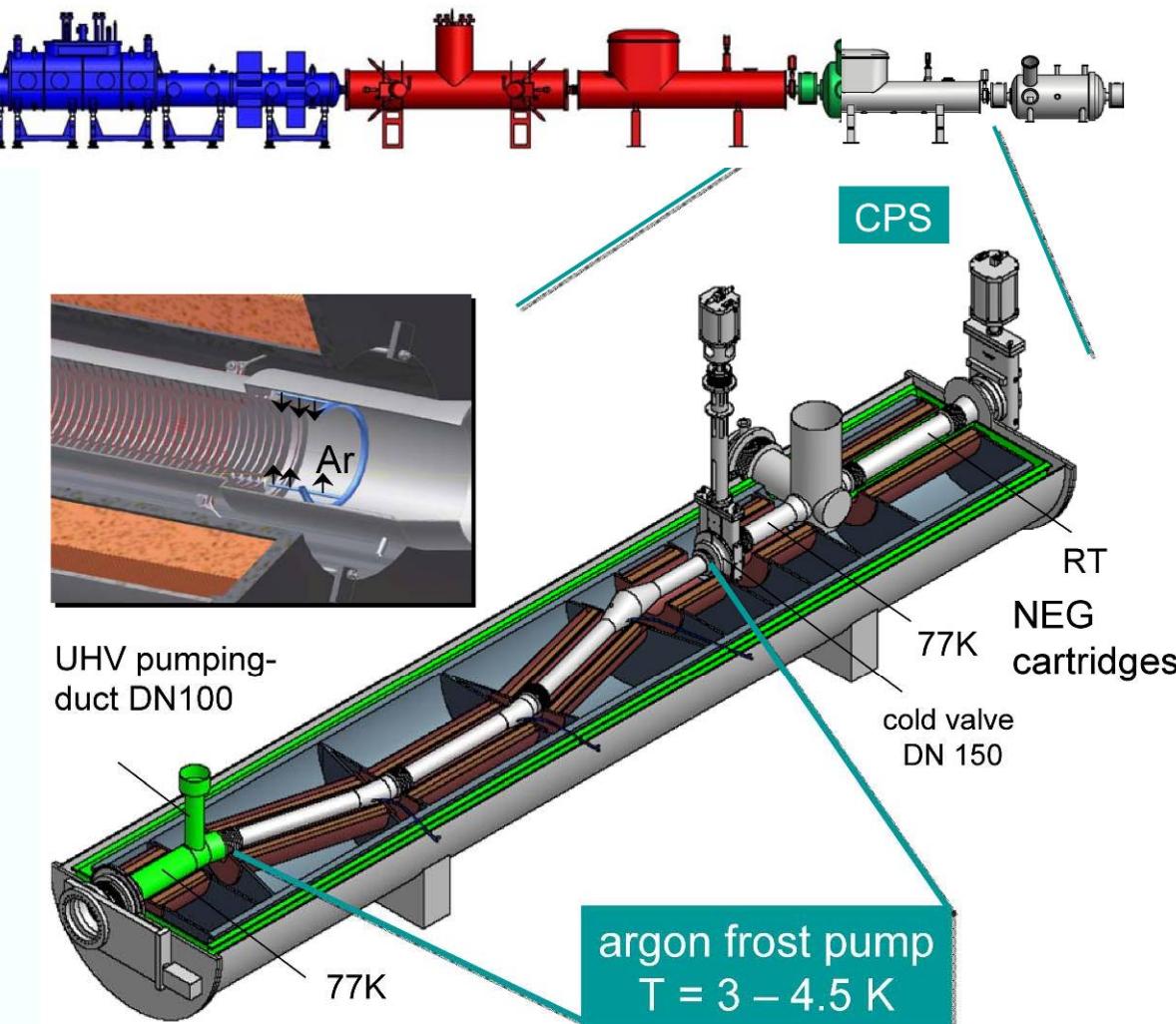
6.2 m long  
s.c. magnets (5.6 T)

- active pumping of  $T_2$  with TMPs
- TMP tests in  $B$ -field ( $< 4mT$ )
- tritium reduction:  $10^5$
- delivery: July 15, 2009



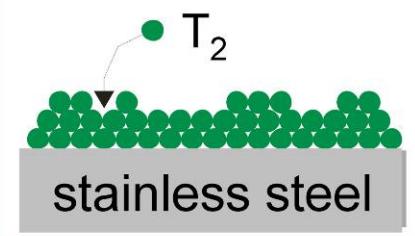


# Cryo pumping section (CPS)

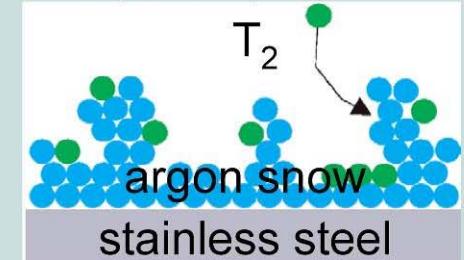


- cryo-sorption of  $T_2$
- tritium reduction:  $10^7$
- s.c. magnets
- delivery: 2010

## cryo-condensation



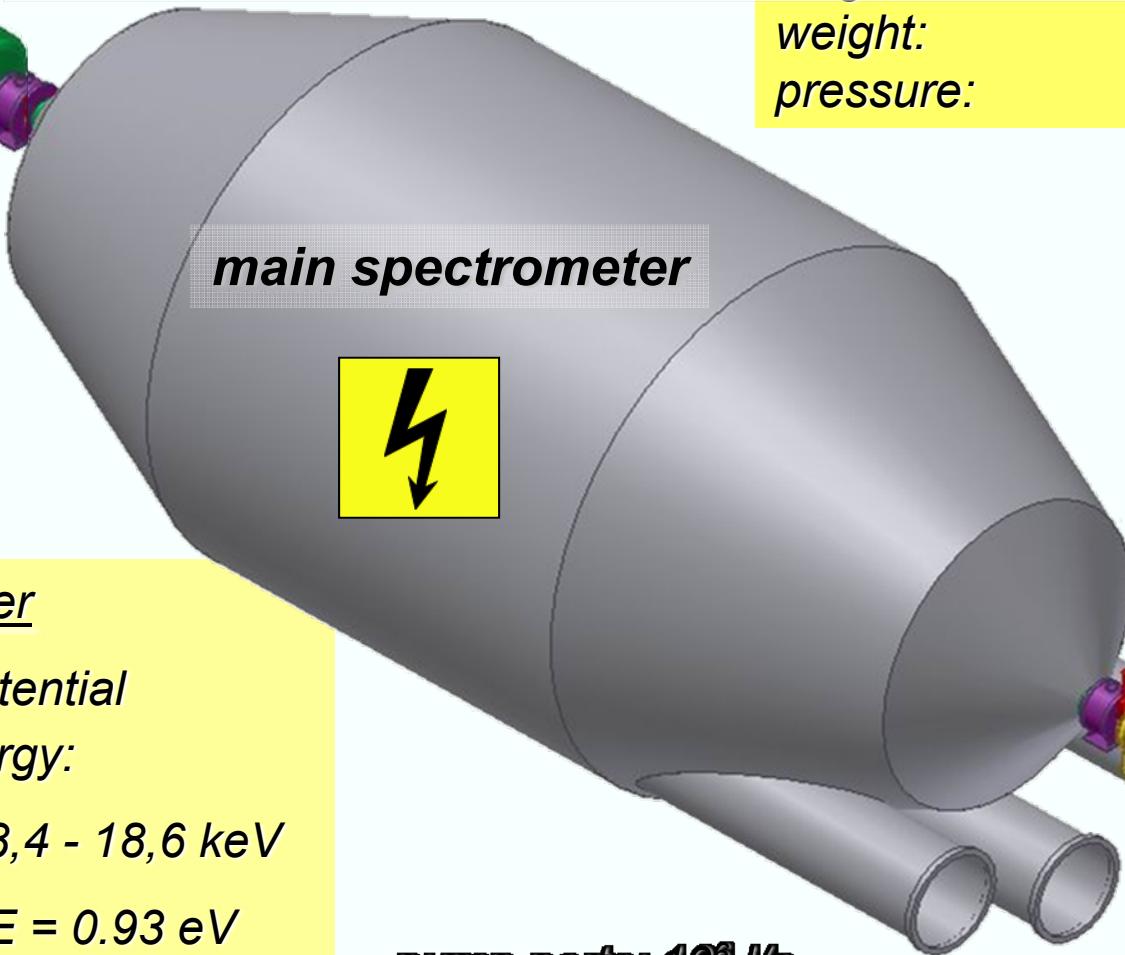
## cryo-sorption





# Electro-static tandem-spectrometer

pre-  
spectrometer



diameter:	10 m
length:	23.3 m
weight:	200 t
pressure:	$10^{-11}$ mbar

## Pre-filter

fixed potential:

$$E = 18.3 \text{ keV}$$

$$\Delta E \approx 100 \text{ eV}$$

prototype for m.s.

## Precision MAC-E-filter

variable retarding potential

for scanning the energy:

$$\text{energy range: } E = 18.4 - 18.6 \text{ keV}$$

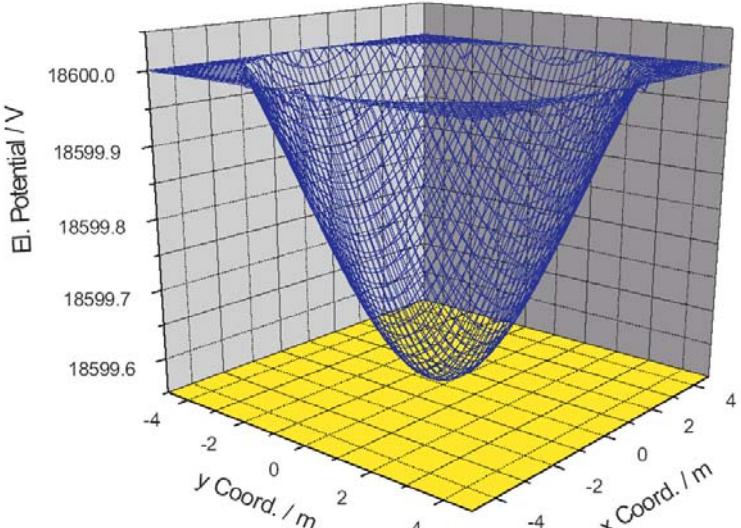
$$\text{energy resolution: } \Delta E = 0.93 \text{ eV}$$



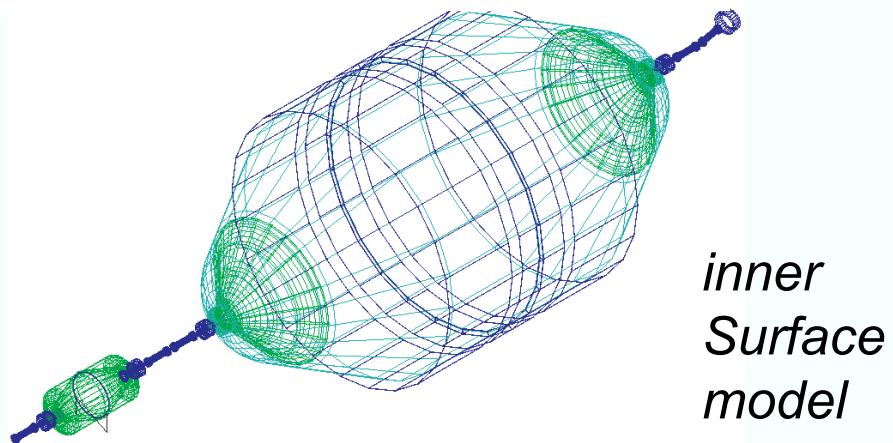
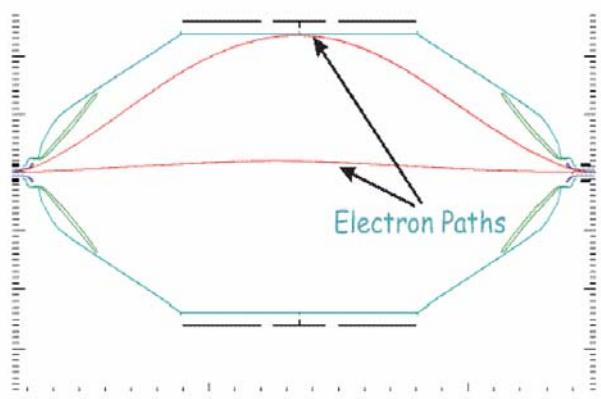
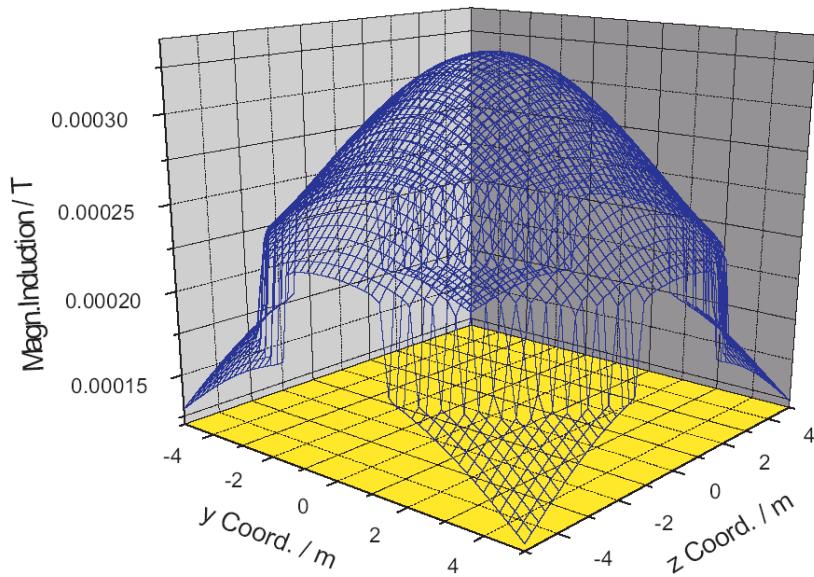
# Design of the spectrometers

Codes: customized IGUN, Simion, native Codes ,PartOpt

EI. Potential along main Spectrometer analysing plane for  $U_{el}=15$  V



Magn. Induction at analysing plane



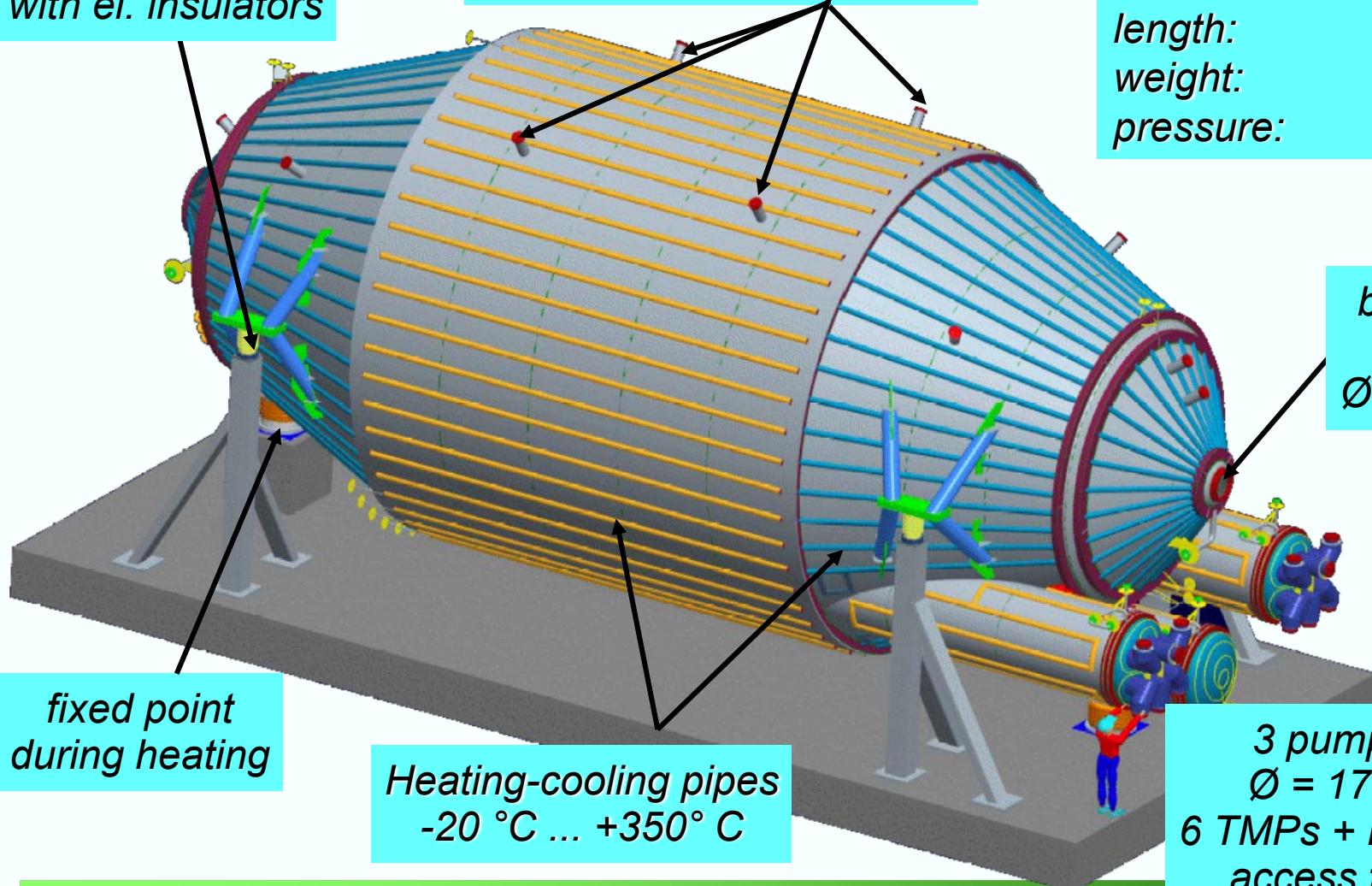


# Design of the main spectrometer

Support stands  
with el. insulators

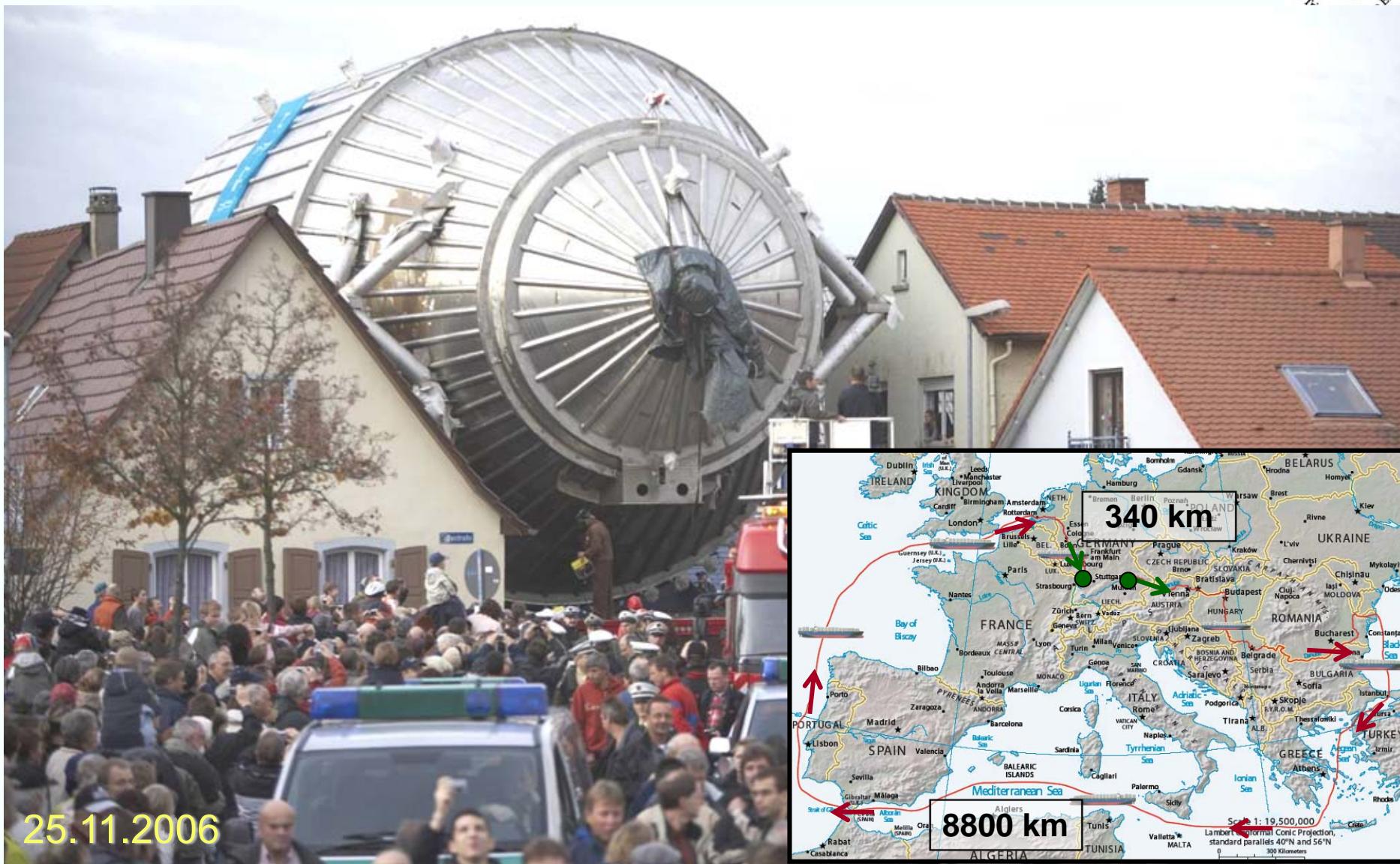
Ports for HV feed-throughs  
for inner electrodes

diameter: 10 m  
length: 23.3 m  
weight: 200 t  
pressure:  $10^{-11}$  mbar





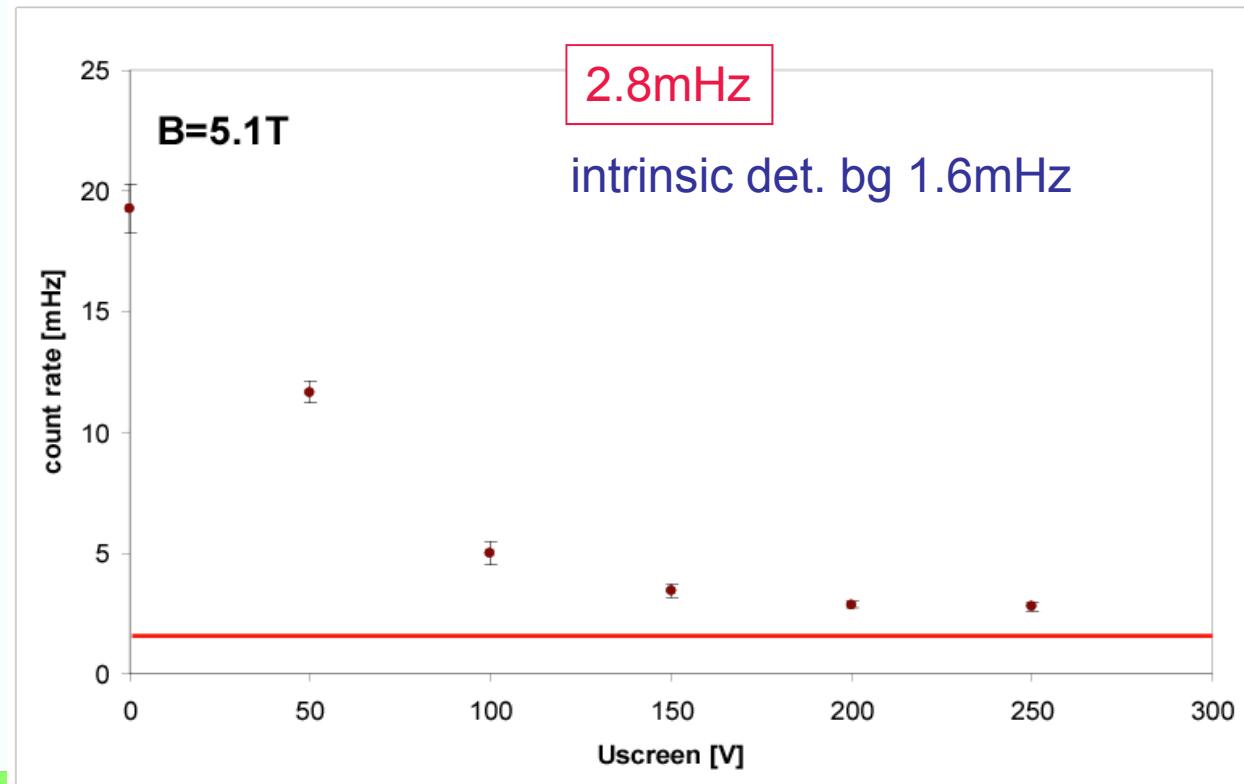
# Arrival of the main spectrometer after a voyage of 8800 km around Europe



# Minimisation of spectrometer background

- UHV:  $p \leq 10^{-11}$  mbar
- „massless“ inner electrode system to protect against secondary electrons from the walls

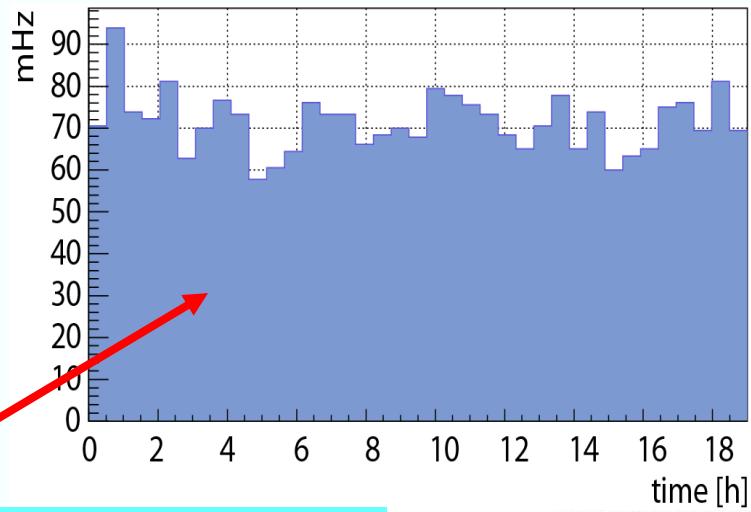
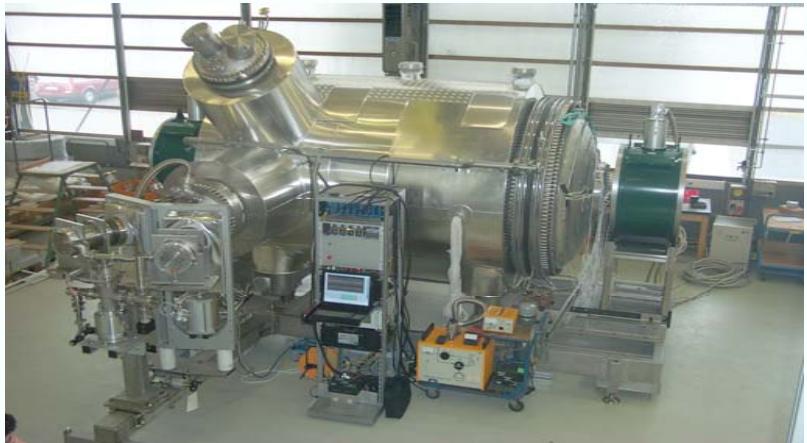
Results from the Mainz spectrometer:



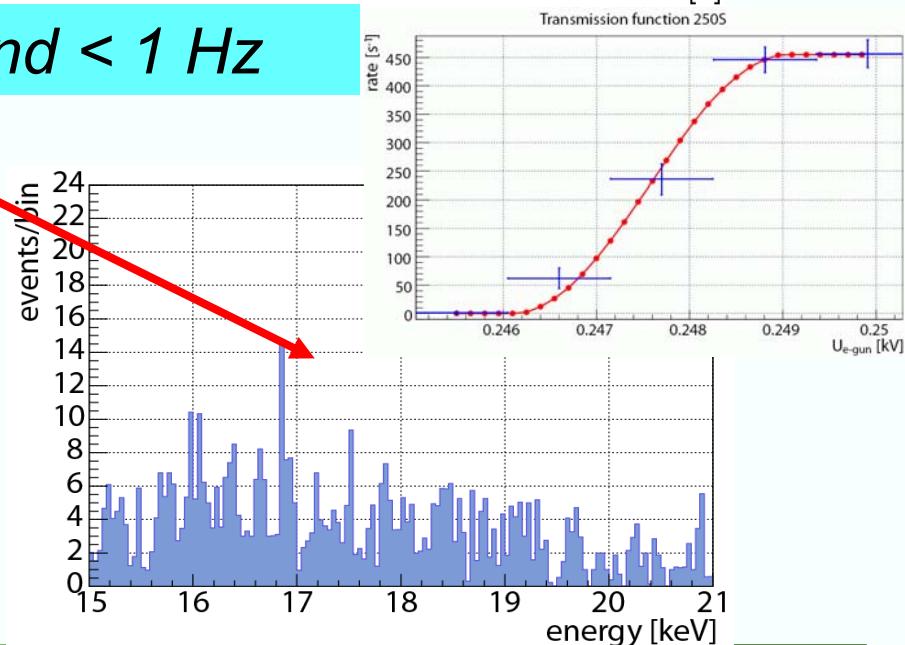
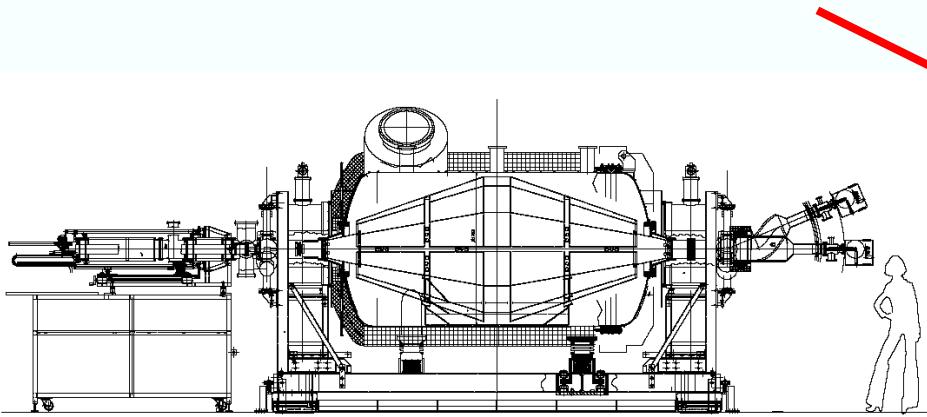
inner electrode  
installed in Mainz  
spectrometer for  
background tests



# Pre-spectrometer

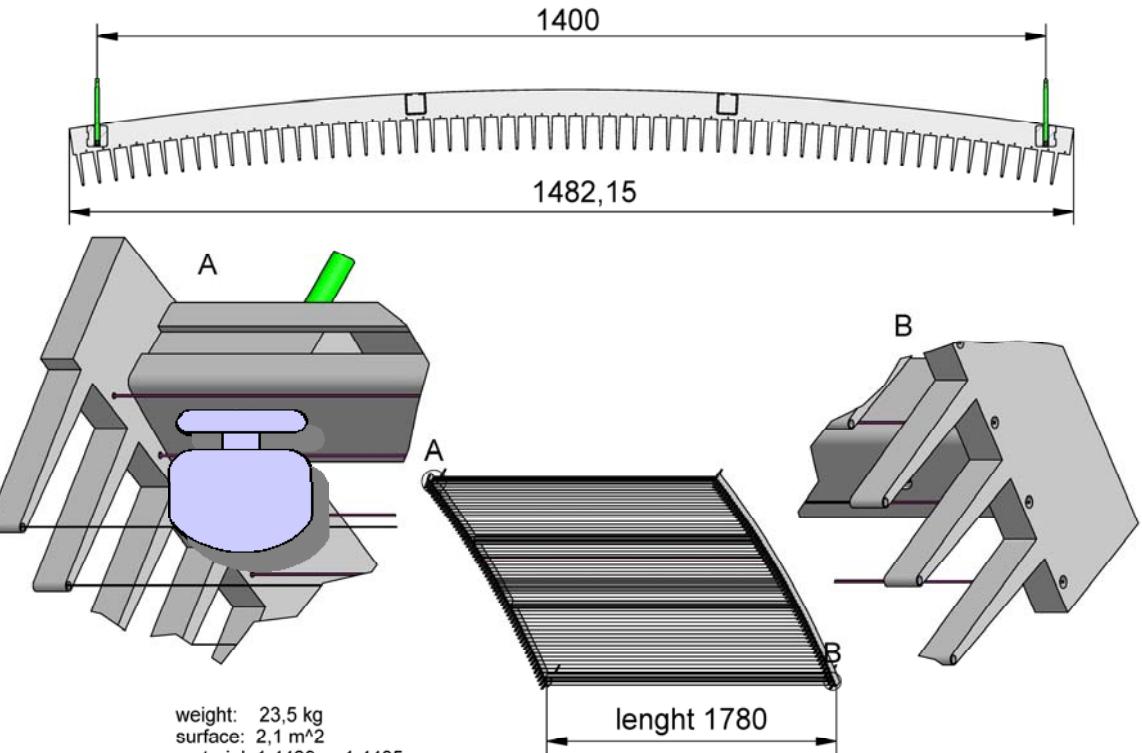
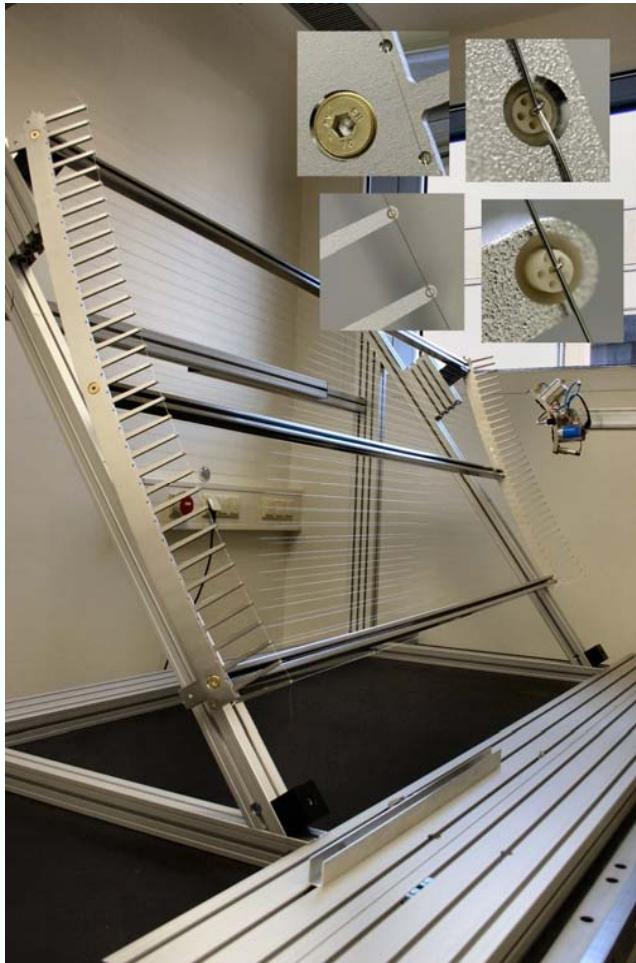


*electronic background < 1 Hz*





# frame for the cylindrical part of main spectrometer



## Two layers:

- to improve background shielding
- to increase electrical shielding
- to allow mechanical precision



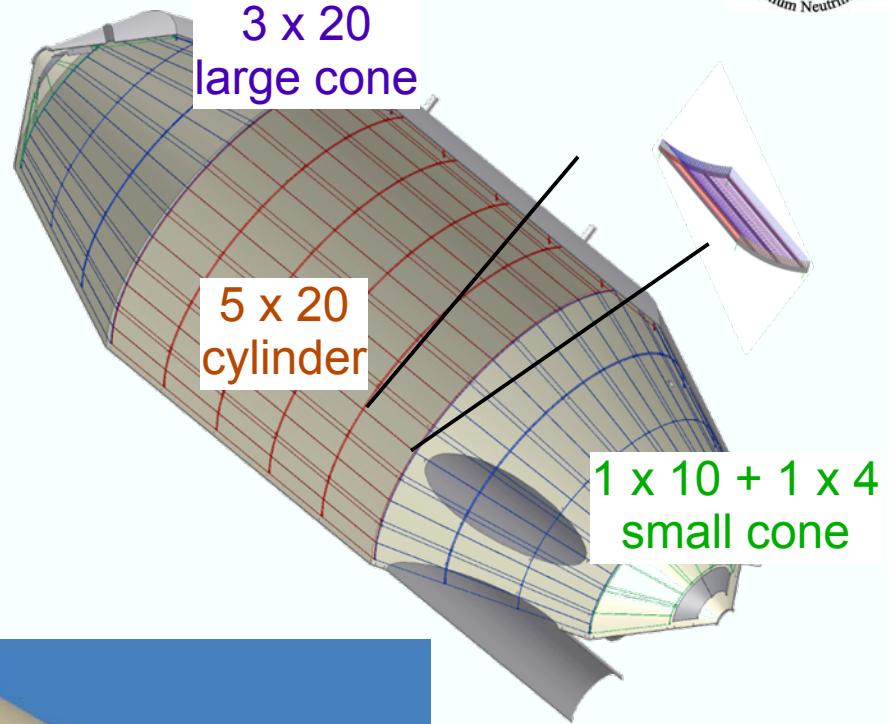
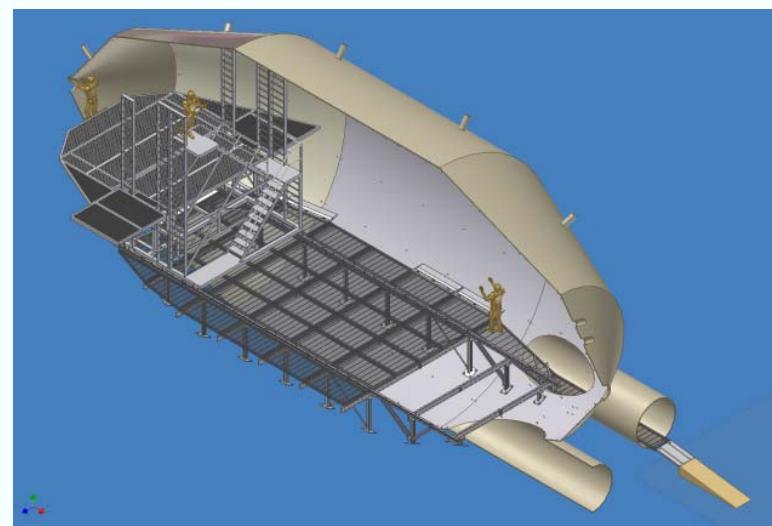
## *Electrode concept for main spectrometer: 650 m<sup>2</sup> surface: 2-layer wire modules*

### Wire electrode system of KATRIN main spec:

- 248 modules, 23440 wires, 46240 ceramics

### Technical requirements:

- bake-out at 350°C
- vacuum requirements ( $10^{-11}$  mbar)
- position ( $\Delta x = \pm 200 \mu\text{m}$ )





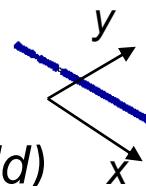
# *Electrode module installation: a flexible scaffold inside „cleanroom“*



*Railway installation finished:  
May 2009  
Start of wire electrode installation:  
June 2009*

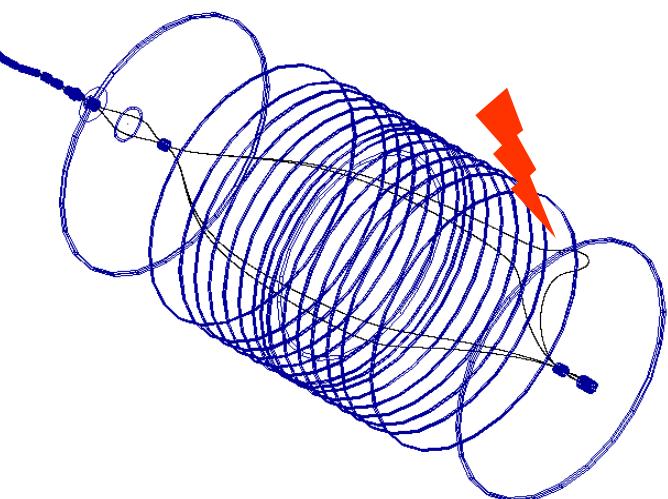
## Determination of the magnetic field inside the main spectrometer

- global fields , dipoles  
(e.g. earth magnetic field)



$$B_y = 250 \text{ mG} = 25 \mu\text{T}$$

- permanent magnetised material  
(construct steel)
- magnetised material by  
KATRIN components  
→ LFCS, EMCS



Accuracy of  $B$  in central analysing area

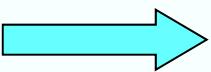
$$\rightarrow \frac{\Delta(B_A)}{B_A} < 4 \times 10^{-2}$$

Measurement of magnetic field inside main spectrometer



In rotational free volumes

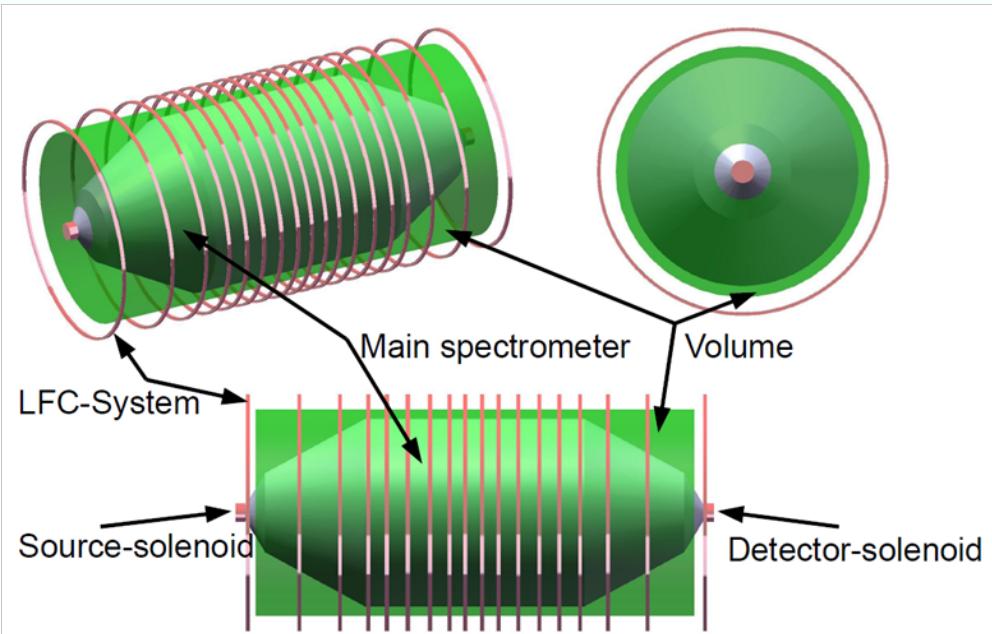
Ampere's Law



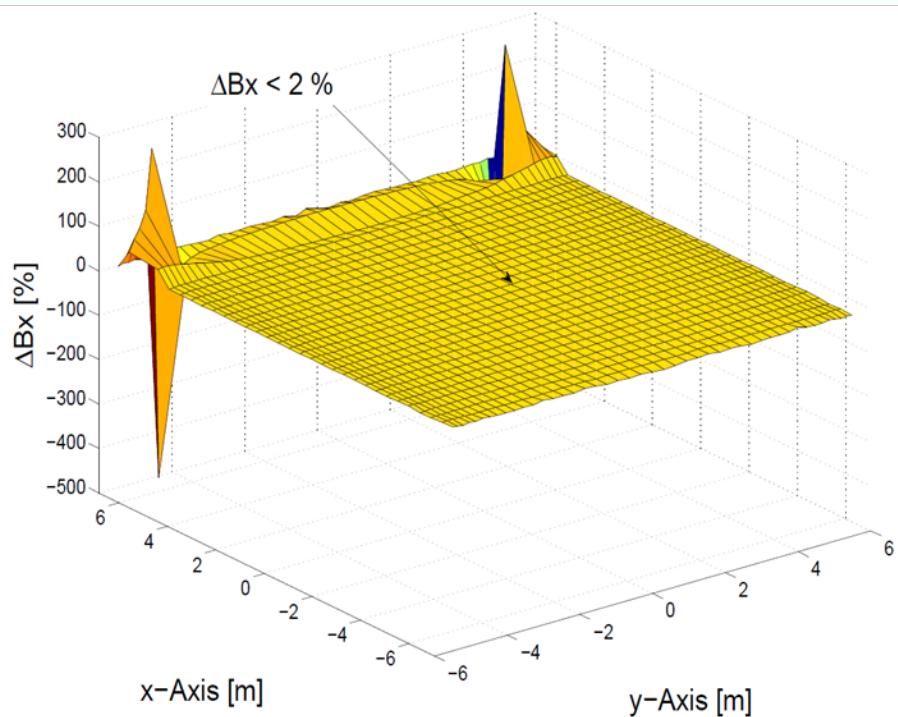
$$\vec{B} = (\vec{\nabla} \cdot V)$$

$$\vec{\nabla}^2 \cdot V(x, y, z) = 0$$

Laplace-eq.

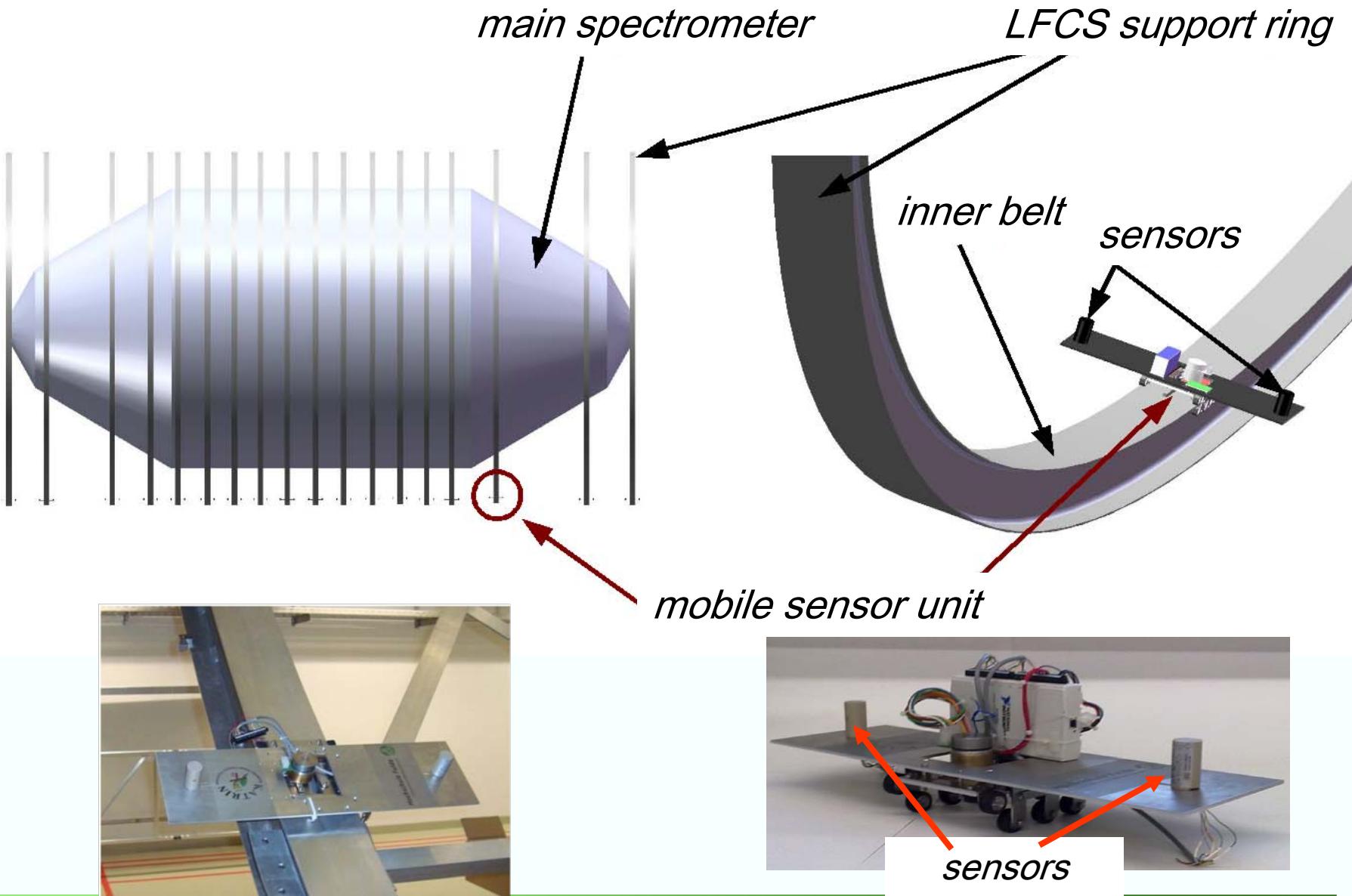


*Finite difference method (FDM) boundary values  $B_{\text{surface}}$  allows reconstruction of  $B$  in volume.*





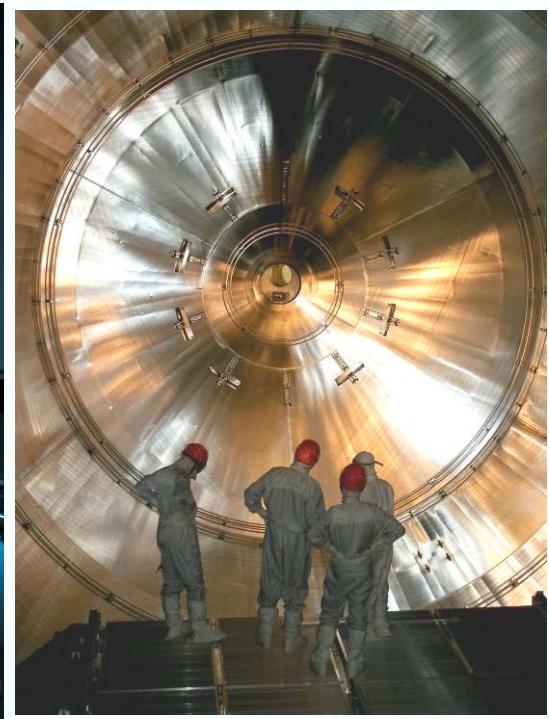
## Scheme of mobile sensor unit (MOBS) mounting





# Status of the main spectrometer

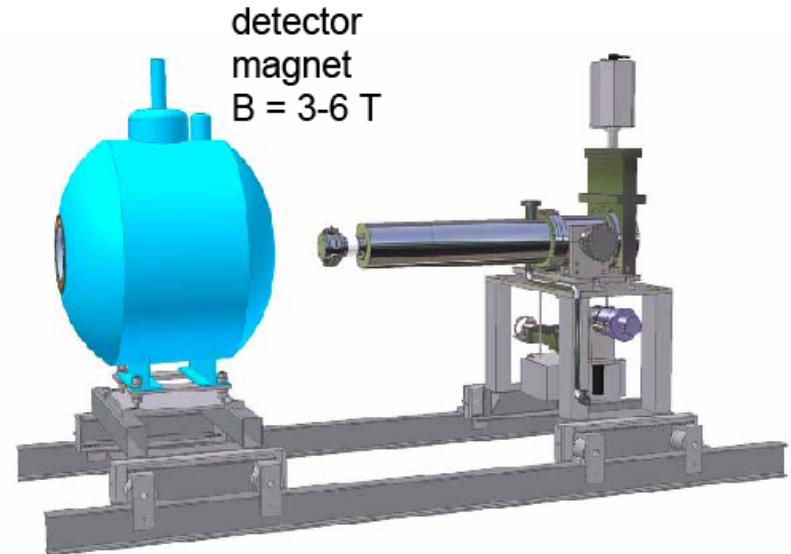
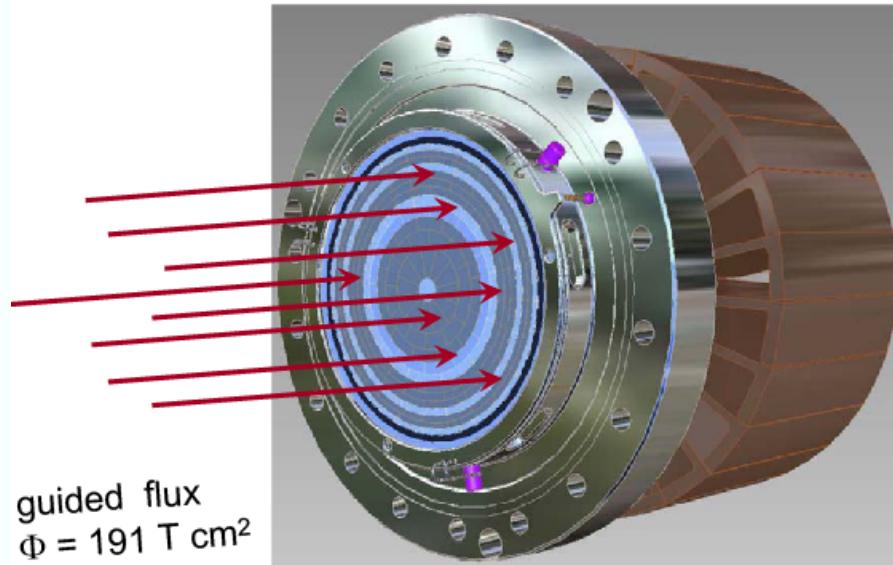
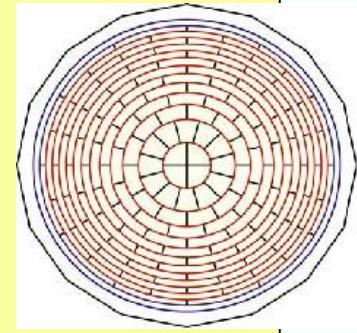
- successfull bake-out ( $350^{\circ}\text{C}$ ) and vacuum tests
- inner electrode system being prepared for installation
  - **23440 individual wires in 248 frames (University Münster)**
  - **clean room scaffolding inside vessel installed, mounting ongoing**
- Helmholtz coils with 12.6 m diameter installed
- first electromagnetic tests planned in 2010
- Installation & test of mag. field monitoring system start 2010





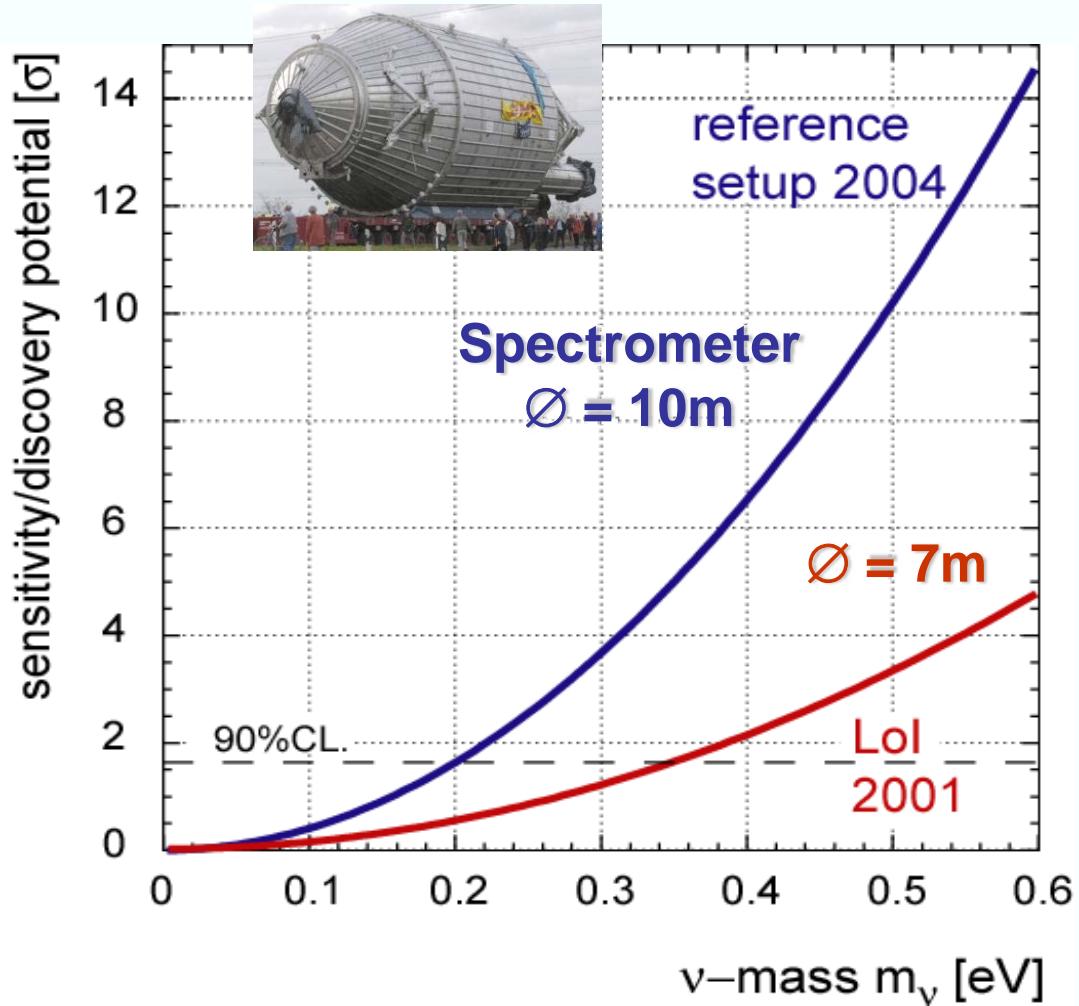
# Focal plane detector and pinch magnet

- monolithic segmented SI-PIN-diode array
  - counts transmitted electrons after main spectrometer
  - very low background
  - determines radial position and azimuth angle
- s.c. detector and pinch magnets (3 – 6 T)
- developed and supplied by US collaborators (UW, MIT)
- will be shipped to Karlsruhe in 2010





# KATRIN sensitivity and discovery potential



**sensitivity (90% CL)**

$$m(\nu) < 0.2 \text{ eV}$$

**discovery potential**

$$m(\nu) = 0.35 \text{ eV} (5\sigma)$$

**begin of measurements**

2012

measurement: 5-6 years  
(3 years of data)

**KATRIN Design Report 2004**

<http://bibliothek.fzk.de/zb/berichte/FZKA7090.pdf>

# Thank you for your attention!

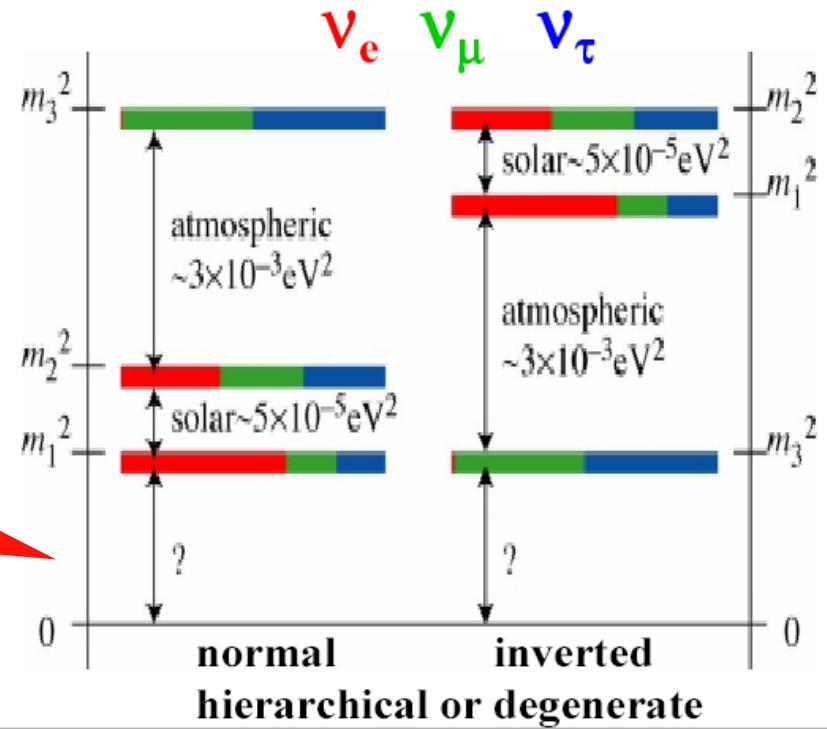




*From neutrino oscillation experiments:  
mass splittings  $\Delta m_{ij}^2 = (m_j^2 - m_i^2)$  & mixings angles  $\sin^2 2\theta_{ij}$*

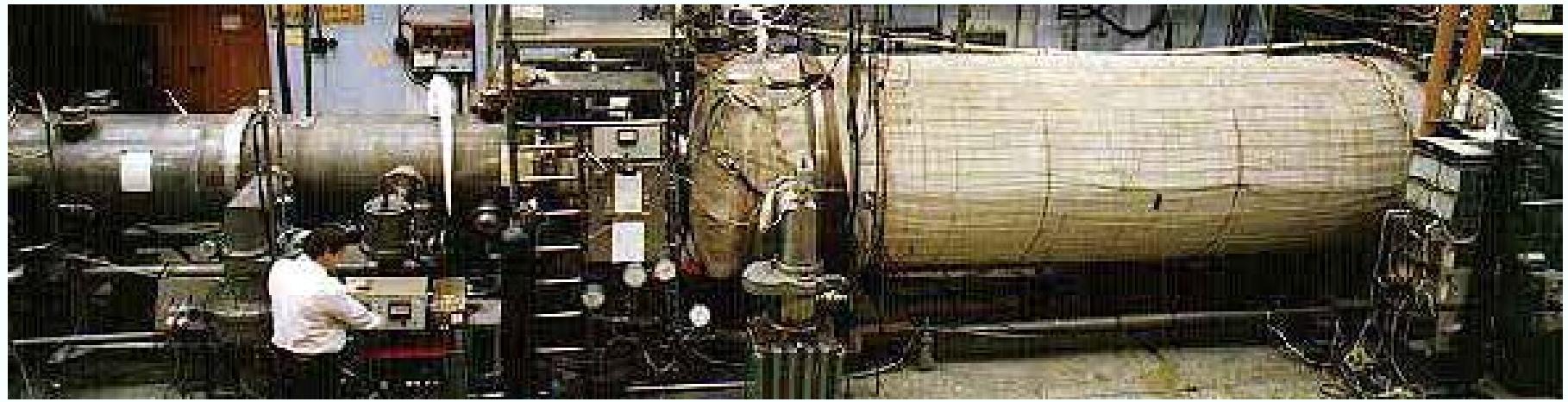
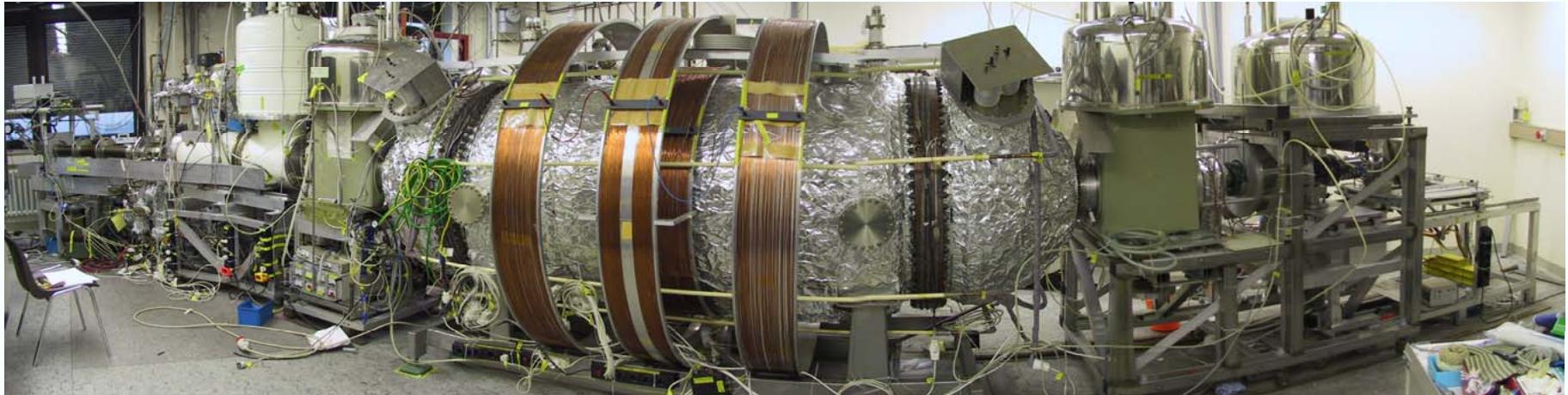
$$\text{flavour eigenstates} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \text{ mass eigenstates}$$

absolute neutrino mass scale??



# The most stringent direct upper limits on $m_\nu$

electrostatic filter with magnetic adiabatic collimation (MAC-E)



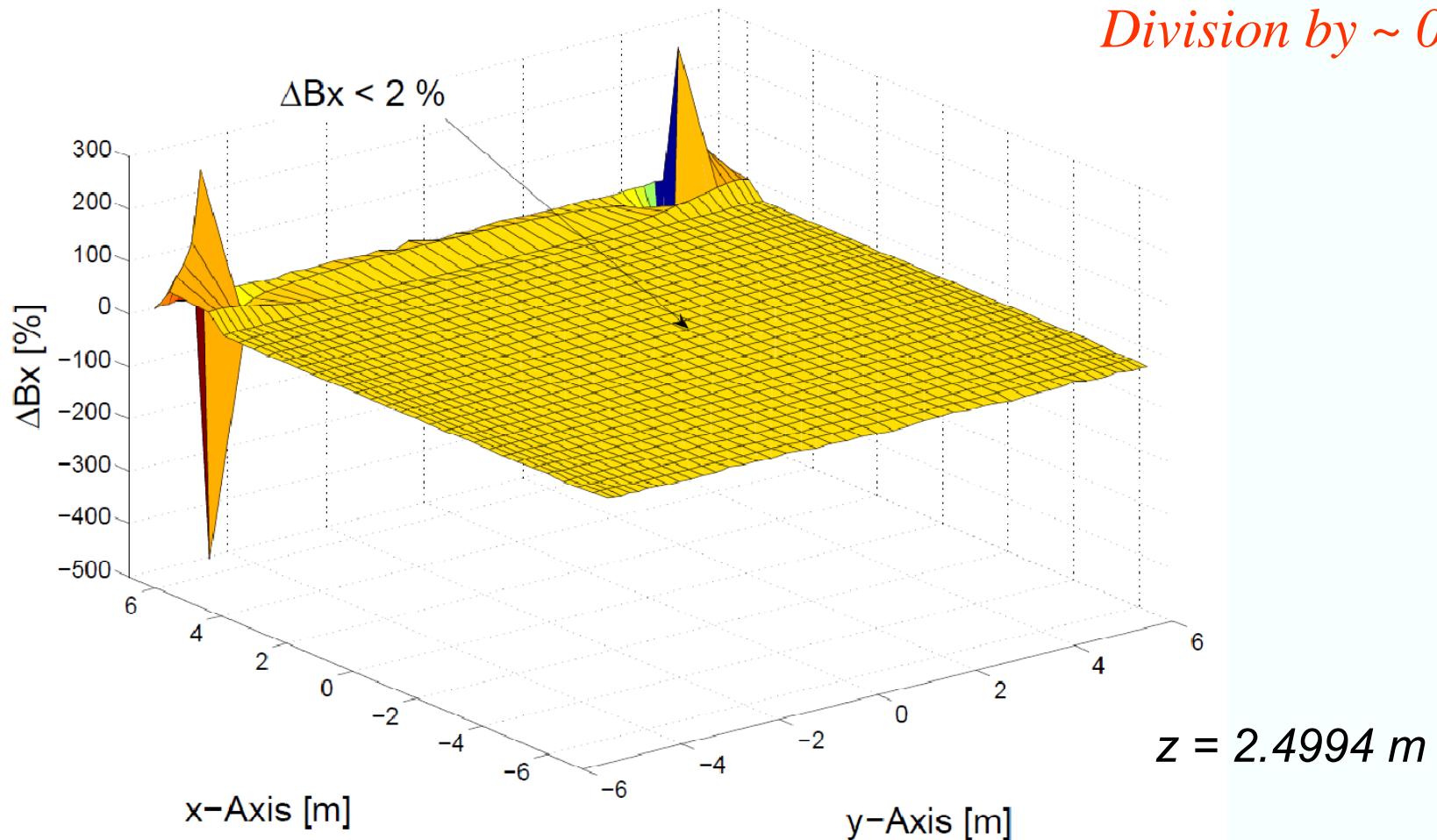


## Simulation results

rel. difference

$$\Delta B_x = \frac{B_{xrec} - B_{xorg}}{B_{xorg}}$$

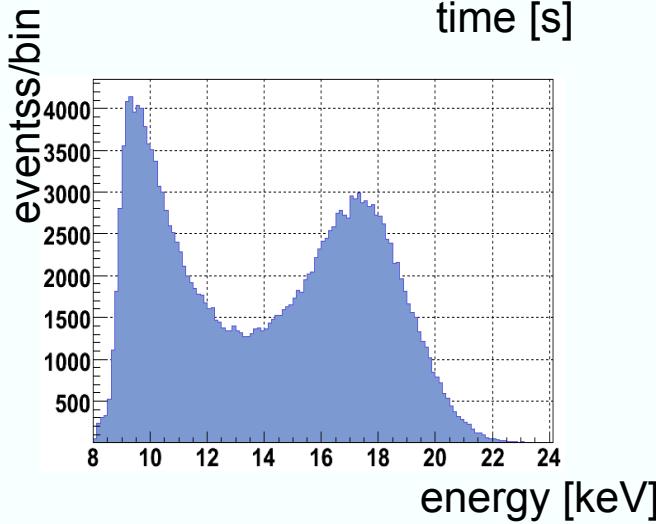
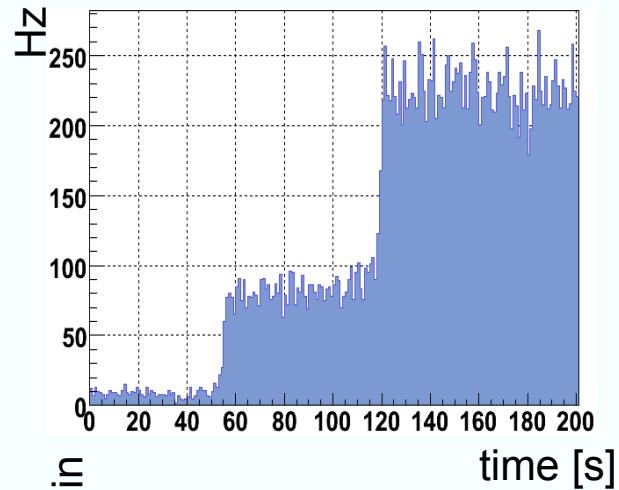
*Spikes:  
Division by  $\sim 0$*



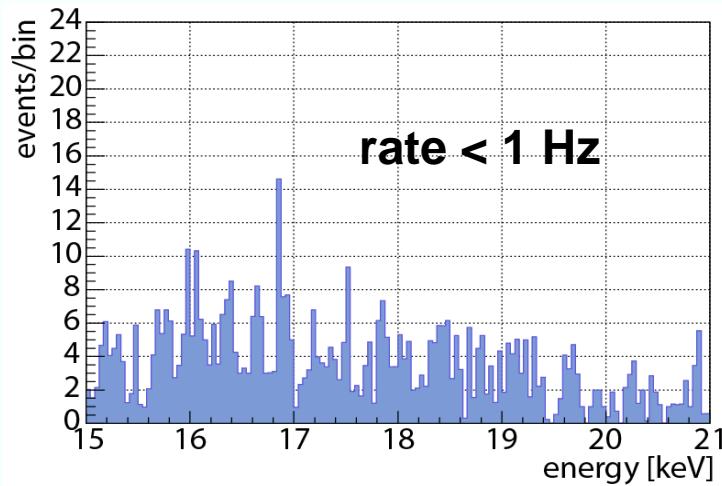
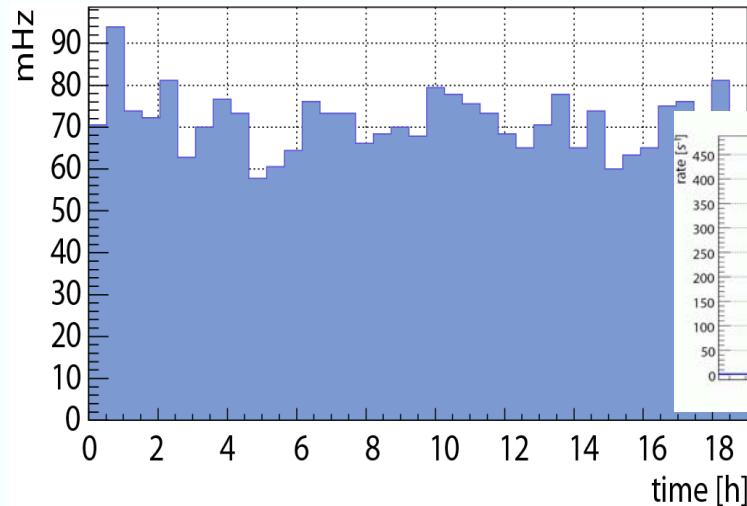


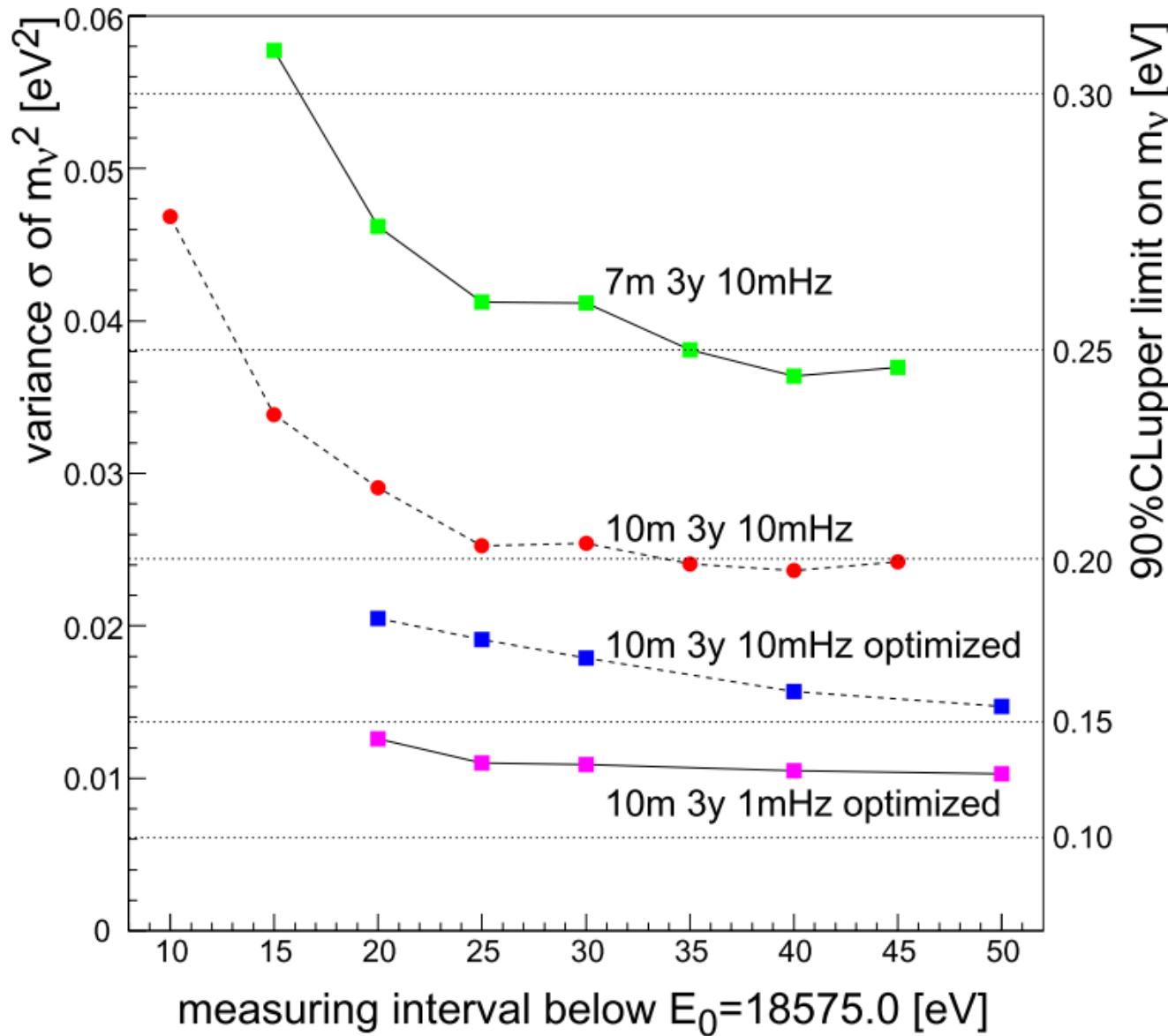
*new electrode to  
avoid Penning trap*

*before*



*after*





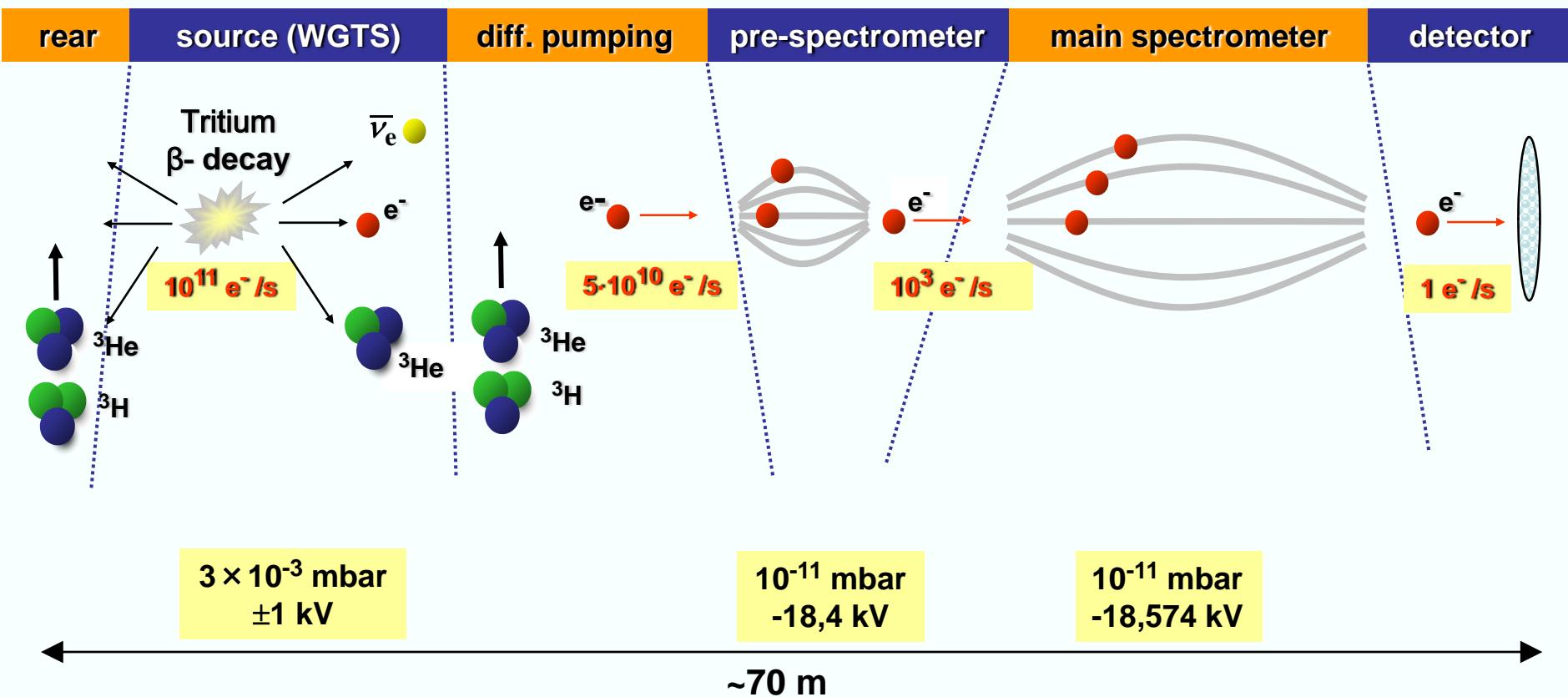


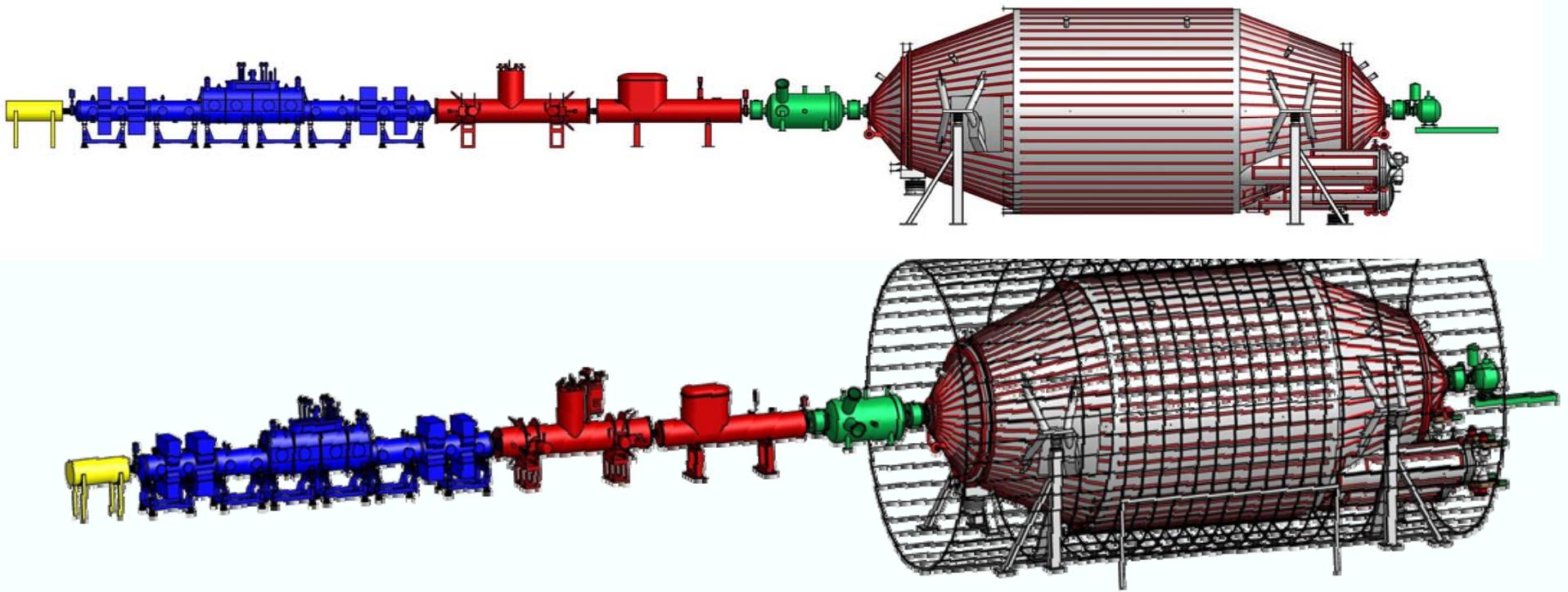
# KATRIN main components

## source & transport section

## spectrometer & detector section

source parameter	stable tritium column density	electron transport tritium retention	reflection of low energy electrons	high precision energy analysis of electrons	position sensitive electron counter
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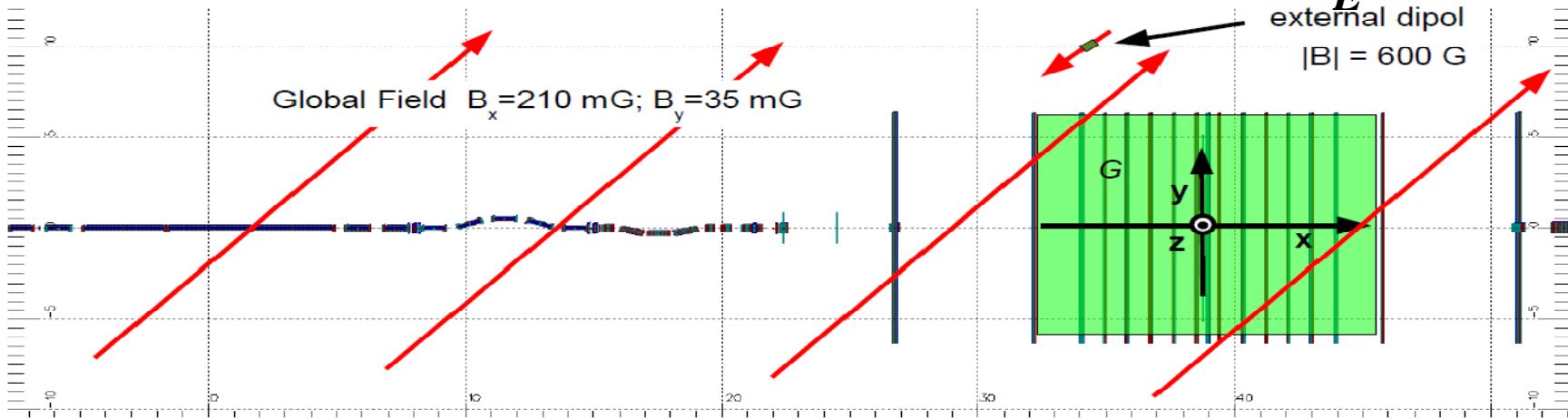






## Simulation conditions

### PartOpt View of simulation scenario



- volume :  $-7.03 \text{ m} < x < 6.83 \text{ m}$ ,  $R = 6 \text{ m}$
  - global field
  - external dipol
  - LFCS energised
  - bounday values randomised  
to sim 2% sensor error
  - Calc. Time: MATLAB: 4-5 days,
- C: < 5 min

